

Charitable Funds Committee

Thu 19 January 2023, 09:00 - 11:00

Microsoft Teams



Agenda

1. PRELIMINARY MATTERS

 1.0 Charitable Funds Committee Agenda 19.01.2023.pdf (2 pages)

1.1. Welcome and Introductions

Oral *Chair*

1.2. Apologies for Absence

Oral *Chair*

1.3. Declarations of Interest

Oral *Chair*

1.4. Draft Minutes of the last Meeting held on 27th October 2022

Attachment *Chair*

 1.4 Final Draft CFC Minutes 27-10-22.pdf (9 pages)

1.5. Committee Action Log

Attachment *Chair*

 1.5 Charitable Funds Action Log - Jan 2023.pdf (4 pages)

2. ITEMS FOR APPROVAL/RATIFICATION/DECISION

2.1. Final Charitable Funds Annual Accounts & Report 2021/2022

Attachment *Director of Finance, Procurement & Value*

Supported by the Head of Financial Services & Accounting

 2.1 CFC Cover Report Final Annual Accounts Annual Report 2021-22.pdf (5 pages)

 2.1 App 1 ABUHB Final Charitable Funds Accounts 2021-22.pdf (21 pages)

 2.1 App 2 Charitable Funds Annual Report 2021-22 Final.pdf (31 pages)

2.2. ISA260 Report 2021/22

Attachment *Audit Wales*

 2.2 Audit of Accounts Report_2021-22_final.pdf (22 pages)

2.3. Finance Report

Director of Finance, Procurement & Value

Supported by the Head of Financial Services & Accounting

- 📄 2.3 CFC Financial Report for Period Ending 30 November 2022.pdf (8 pages)
- 📄 2.3 CFC Financial Report 30 November 2022 Appendix A.pdf (1 pages)

2.4. Funds Available and Small Grants Scheme

Attachment *Director of Finance, Procurement & Value*

- SGC 004
- SGS 005

Supported by the Assistant Finance Director

- 📄 2.4 Available Funding & Small Grant Scheme v 2.pdf (4 pages)
- 📄 2.4 SGS-004 LGH Walled Garden Paths Upgrade.pdf (2 pages)
- 📄 2.4 SGS-005 Scheduled Care Gas Cylinder Trolley.pdf (3 pages)

3. ITEMS FOR DISCUSSION

3.1. Review of Committee Programme of Business

Attachment *Chair*

- 📄 3.1 MASTER CFC_Committee Work Programme 2022-23.pdf (6 pages)

4. ITEMS FOR INFORMATION

4.1. Partnership Agreement – Newport County Football Club & Neonatal Unit

Director of Finance, Procurement & Value

Supported by the Head of Financial Services & Accounting

- 📄 4.1 a Partnership Agreement Newport AFC and Neonatal Unit.pdf (3 pages)
- 📄 4.1 Aneurin Bevan Charity Commercial Participation Agreement v 2 3.11.22.pdf (5 pages)

4.2. Investment Management Contract Tender Update

Oral *Director of Finance, Procurement & Value*

Supported by the Assistant Finance Director

4.3. Charities Together – additional funding available

Oral *Director of Finance, Procurement & Value*

Supported by the Head of Financial Services & Accounting

5. OTHER MATTERS

Oral *Chair*

5.1. Items to be Brought to the Attention of the Board and Other Committees

Oral *Chair*

5.2. Any Other Urgent Business

Oral *Chair*

5.3. Date of the Next Meeting:

Oral *Chair*

Thursday 2nd March 2023 at 09:30 - 12:30pm

CHARITABLE FUNDS COMMITTEE (Accounts) AGENDA

Date and Time	Thursday 19th January 2023 at 09:00am – 10:30am
Venue	Microsoft Teams

Item	Title	Format	Presenter
1	PRELIMINARY MATTERS		
1.1	Welcome and Introductions	Oral	Chair
1.2	Apologies for Absence	Oral	Chair
1.3	Declarations of Interest	Oral	Chair
1.4	Draft Minutes of the last Meeting held on 27 th October 2022	Attached	Chair
1.5	Committee Action Log	Attached	Chair
2	ITEMS FOR APPROVAL/RATIFICATION/DECISION		
2.1	Final Annual Accounts & Report 2021/2022	Attachment	Head of Financial Services & Accounting
2.2	ISA260 Report 2021/22	Attachment	Audit Wales
2.3	Finance Report	Attachment	Head of Financial Services & Accounting
2.4	Funds Available and Small Grants Scheme <ul style="list-style-type: none"> • SGS 004 - LGH Walled Garden - Paths Upgrade • SGS 005 - Scheduled Care - Gas Cylinder Trolleys 	Attachment	Assistant Finance Director
3	ITEMS FOR DISCUSSION		
3.1	Review of Committee Program of Business	Attachment	Chair
4	ITEMS FOR INFORMATION		
4.1	Partnership Agreement – Newport County Football Club & Neonatal Unit	Attachment	Head of Financial Services & Accounting
4.2	Investment Management Contract Tender Update	Oral	Assistant Finance Director
4.3	Charities Together – additional grant funding available	Oral	Head of Financial Services and Accounting



5 OTHER MATTERS			
5.1	Items to be Brought to the Attention of the Board and Other Committees	Oral	Chair
5.2	Any Other Urgent Business	Oral	Chair
5.3	Date of the Next Meeting: <ul style="list-style-type: none"> Thursday 2nd March 2023 at 09:30am - 12:30pm 		

KEY:	
Priority 1	• Every Child has the Best Start in Life
Priority 2	• Getting it Right for Children and Young Adults
Priority 3	• Adults in Gwent Live Healthily and Age Well
Priority 4	• Older Adults are Supported to Live Well and Independently
Priority 5	• Dying Well as part of Life
Enablers	<ul style="list-style-type: none"> • Experience, Quality & Safety • Partnership First • Research, Innovation, Improvement, Value • Workforce & Organisational Development • Finance • Digital, Data, Intelligence • Estate • Regional Solutions • Governance

Motion to Exclude Members of the Public and the Press
<p>There may be circumstances where it would not be in the public interest to discuss a matter in public. In such cases the Chair shall move the following motion to exclude members of the public and the press from the meeting:</p> <p>“Representatives of the press and other members of the public shall be excluded from the remainder of this meeting having regard to the confidential nature of the business to be transacted, publicity on which would be prejudicial to the public interest”.</p> <p><i>Motion under Section 1(2) Public Bodies (Admission to Meetings) Act 1960</i></p>



ANEURIN BEVAN UNIVERSITY HEALTH BOARD

**Minutes of the Charitable Funds Committee held on
Thursday 27th October 2022 at 9.30 am via Microsoft Teams**

Present:

Katija Dew	Independent Member (Chair)
Louise Wright	Independent Member
Rob Holcombe	Interim Director of Finance, Procurement and Value Based Healthcare
Nicola Prygodzicz	Chief Executive

In attendance:

Mark Ross	Assistant Finance Director
Bryony Codd	Head of Corporate Governance
Estelle Evans	Head of Financial Services and Accounting
Alison Griffiths	Charitable Funds Manager
Sunil Dolwani	Consultant Gastroenterologist, CAVUHB

Apologies:

Rani Mallison	Director of Corporate Governance
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	Preliminary Matters
CFC 2710/01	<p>Welcome and Introductions</p> <p>The Chair welcomed everyone to the meeting. Nicola Prygodzicz, Chief Executive, was formally welcomed to her first Charitable Funds Committee meeting.</p>
CFC 2710/02	<p>Apologies for Absence</p> <p>Apologies for absence were noted.</p>
CFC 2710/03	<p>Declarations of Interest</p> <p>There were no Declarations of Interest to record.</p>
CFC 2710/04	<p>Draft Minutes of the Meeting Held on the 2nd of August 2022</p> <p>Estelle Evans (EE), Head of Financial Services and Accounting, highlighted inconsistency in her job title. Action: Secretariat</p> <p>The minutes of the Charitable Funds Committee meeting held on the 2nd of August 2022 were noted as a true and accurate record.</p>
CFC 2710/05	<p>Charitable Funds Committee Action Log- October 2022</p>

	<p>The Committee received the action log and members were content with progress made against any outstanding actions.</p>
	<p>Committee Governance</p>
<p>CFC 2710/06</p>	<p>Draft Committee Work-plan 22-23</p> <p>The Committee received the work-plan, outlining the annual programme of work.</p> <p>Following concerns raised by the Audit, Risk and Assurance Committee around the ability of Audit Wales to complete the audit of the Charitable Funds within the required timescales, Rob Holcombe (RH), Interim Director of Finance, informed members that the audit of the Charitable Funds, would commence in November 2022; this would meet the statutory reporting deadline of the end of January 2023.</p> <p>Members were content with the programme of work outlined in the Committee work-plan.</p>
<p>CFC 2710/07</p>	<p>Finance Report, including Key Performance Indicators (KPIs)</p> <p>Estelle Evans (EE), Head of Financial Services Accounting, provided an overview of the financial update, including Key Performance Indicators (KPIs) for the period ending 31st August 2022.</p> <p>Members were informed of the following changes to funds:</p> <ul style="list-style-type: none"> • Five individual facilities funds had been closed, streamlining the funds into one merged fund <i>F134 ABUHB Facilities</i> • Committee approval was required to set up a new legacy fund, as a restricted fund, <i>Legacy research Heart Illnesses V Williams</i> <p>The KPI update included the number of static funds, merged funds, donations received and an overall fund balance. A summary of this was outlined in appendix 1 of the report. Members were informed that future annual KPI updates would include the time from receipt of donation to the time of expenditure.</p> <p>Members were informed of the update to the Financial Control Procedure, in accordance with the objectives of the charities, to include well-being events.</p> <p>Nicola Prygodzicz (NP), Chief Executive, requested assurance that funds associated with the Royal Gwent Hospital were now available to the Grange University Hospital, aligning with the transfer of services where appropriate, and that teams were aware. EE confirmed that teams were aware that funds were available.</p> <p>Louise Wright (LW), Independent Member, queried how the Charitable Funds teams evidenced and monitored fund holders spending. EE informed members that any funds in excess of £25k were required to provide annual spending plans, and these were presented at the previous meeting of the Charitable Funds Committee. In addition, the Charitable Funds team had</p>

	<p>regular communication with fund holders. An item on fund utilisation and proposed changes to accessing charitable funds would be discussed later on the agenda.</p> <p>Katija Dew (KD), Independent Member and Committee Chair, discussed that, where appropriate, there may be a requirement to rationalise funds to enable better use of the monies available, noting sensitivities around donations for specific causes and services. The idea of a centralised system for future giving was discussed, noting the importance of communicating with donors in the first instance. NP queried if there had been discussions at divisional level around the rationalisation of available funds, whilst ensuring the Health Board met the wishes of the donor. Alison Griffiths (AG), Charitable Funds Manager, assured members that Divisions were involved in the allocation of funds process. Mark Ross (MR), Assistant Finance Director, informed members that further work would be undertaken with Divisions to open conversations around available funds. Action: Assistant Finance Director</p> <p>The Committee; -</p> <ul style="list-style-type: none"> • RECEIVED the report for ASSURANCE and compliance and thanked the Charitable Funds teams. • APPROVED the set-up of the new legacy fund <i>Legacy Research Heart Illnesses V Williams</i> as a restricted fund. • APPROVED the addition of a new section, 11.7.6 to be added to the FCP stating the following: "<i>Wellbeing events are permissible from Charitable Funds but must be discussed with the Charitable Funds Manager prior to the event taking place.</i>"
<p>CFC 2710/08</p>	<p>Admin Charge & Unrealised Gain Apportionment 22-23</p> <p>Estelle Evans (EE), Head of Financial Services and Accounting, provided an overview of the estimated administration charges and unrealised gain apportionment for 2022-23 for consideration and approval.</p> <p>Members were informed that the Admin Charge was apportioned across all funds, excluding the Property Valuation Funds, noting interest dividends and gains and losses were apportioned on the same basis. The increase in the estimated admin cost from £139k in the previous year, to £142k for 2022-23 was influenced by the pay award. Costs were subject to change, noting that the estimate did not include the estimated 1.25% rise in National Insurance, coming into effect in November 2022, and the final Audit fee for 2022/23.</p> <p>Katija Dew (KD), Independent member and Committee Chair, queried how the Health Board's Admin fee compared across Wales. A table of comparisons would be presented to the next meeting. Action: Head of Financial Services and Accounting</p> <p>The Committee; -</p> <ul style="list-style-type: none"> • APPROVED the forecast administration charges for 2022/2023 of £142k which is based on current information. • APPROVED the increase to administration charges, should there be additional costs, to a revised maximum of £150k.

	<ul style="list-style-type: none"> • NOTED the distribution of the interest, dividends, and unrealised gains, as outlined in the report.
<p>CFC 2710/09</p>	<p>Proposed Partnership Agreement- Newport County Football Club & Neonatal Unit</p> <p>Estelle Evans (EE), Head of Financial Services and Accounts, provided an overview of the proposed partnership agreement between Newport County Football Club and the Grange University Hospital Neonatal Unit.</p> <p>Members were informed that the Neonatal Unit charity, The Dinky Dragons, had been approached by the Newport County Football Association with an offer to support fundraising. The Charitable Funds team were content that the partnership fitted with the objectives of the charity. The Charitable Funds team, alongside Corporate and NHS Wales Shared Service Partnership' (NWSSP) legal department were in the process of developing a generic partnership agreement, ensuring alignment with the Health Board's ethical requirements. The Charitable Funds team would chase the progress of the generic partnership agreement. Action: Head of Financial Services and Accounting</p> <p>The Committee NOTED the report and APPROVED the generic partnership agreement written by the Legal and Risk Team for use when it was available.</p>
<p>CFC 2710/10</p>	<p>Investment Management Contract Tender Update</p> <p>Mark Ross (MR), Assistant Finance Director, provided an update on the investment management contract tender for consideration and approval.</p> <p>A procurement exercise was required for a new contract to come into effect from 1st April 2023. The tender service specification was approved during the previous meeting of the Charitable Funds Committee in August 2022.</p> <p>The Charitable Funds team were aiming to appoint investment managers by the end of the financial year 2022/23. Members were informed that the criteria identified had been used previously, with the change noted as an increase in the minimum standards for ethical investment proposals and investment impact of 15%.</p> <p>Katija Dew (KD), Independent Member and Committee Chair, discussed the interview stage of the tender process and recommended Iwan Jones, Independent Member (Finance), sit on the panel. MR agreed to extend the invitation to Iwan Jones to sit on the panel during the interview process, alongside finance and procurement colleagues. Action: Assistant Finance Director</p> <p>The Committee NOTED the progress made and APPROVED the investment evaluation criteria to assess investment management companies who respond to the tender.</p>

<p>CFC 2710/11</p>	<p>Funds Available, Proposed Change in Accessing Charitable Funds and Small Grants Scheme</p> <p>Mark Ross (MR), Assistant Finance Director, provided the Committee with a summary of the report, outlining the up-to-date position in relation to the funds available to support grant requests submitted to the Committee.</p> <p>Members were reminded of the previous approval of a Small Grants Scheme for bids of up to £5k. The report outlined how funds would be communicated to staff. Transferring to a Small Grants Scheme enabled the Charitable Funds Committee to apply general funds for the benefits of patients and staff across the Health Board.</p> <p>The current balance available to the Committee at the time of the meeting, after commitments, was noted as £49k.</p> <p>Nicola Prygodzicz (NP), Chief Executive, requested further information on the £266k reserves, as outlined in the report. MR informed members that the Charity held reserves for fluctuations on the stock market causing unrealised losses.</p> <p>Rob Holcombe (RH), Interim Director of Finance, requested information on the naming of site-specific funds and if there was scope to rename funds to become service based, with the permission of the fund holder. Alison Griffiths (AG), Charitable Funds Manager, informed members that there were two legacies that were donated to specific sites and would remain allocated to those sites; remaining funds could be renamed and where services were integrated, the funds would be generic to the Health Board.</p> <p>The SGS 003 <i>In Tune with Parent & Infant Mental Health Conference</i> small grant request had been received for approval. The request had been received from Gwent Parent and Infant Mental Service (G-PIMHS) and Monmouthshire Flying Start to host a conference to raise the awareness and good practice regarding Parent and Infant Mental Health, in line with current developments and emphasis on wellbeing across Wales. RH noted the cost of the hall hire outlined in the bid and requested reassurance that the values put forward in bids were tested to ensure fair value for money. AG informed members that quotations were normally sourced prior to the bid and that the hall hire outlined was the average rate.</p> <p>Louise Wright (LW), Independent Member, suggested a 12-month review on the small grants scheme. Action: Assistant Finance Director/secretariat</p> <p>The Committee thanked the Charitable Funds Team for the report and -</p> <ul style="list-style-type: none"> • NOTED the balance available to support small grants of £49k. • APPROVED the small grant request <i>SGS 003 In Tune with Parent & Infant Mental Health Conference</i>. • APPROVED the proposal to allow individuals to engage with Charitable Fund Holders to potentially access some of their charitable funds if their request meets the purpose/criteria of that fund.
<p>CFC 2710/12</p>	<p>Bids to be considered by the Committee (relating to staffing or value over £25k)</p>

Estelle Evans (EE), Head of Financial Services and Accounting, supported by Alison Griffiths (AG), Charitable Funds Manager, presented the report outlining the bids for review.

The following three bids had been proposed for approval. These bids had local funding available and did not require funding from the Charitable Funds Committee:

- Bid CFC-255 – Ratification of Bid for Approval of Staffing from Person Centred Team. The bid was presented to the Committee at the previous meeting and had been approved by the Executive team on the 21st of July 2022.
- Bid CFC-256 – Nurse for ABUHB Mild Cognitive Impairment Clinic.
- Bid CFC-257 – Wellbeing – Avoidable Employee Harm Programme Lead.

Members were informed that the Charitable Funds Committee had £98k available to use. Funding was requested for the following bid, *CF259- Occupational Therapy Staff Support*. The bid outlined a 0.8 whole time equivalent (WTE) Occupational Therapist, with 0.4 WTE of the funding being requested through the bid to Charitable Funds, and the other 0.4 WTE to be funded through revenue. The bid had been supported by the Executive Team, and further support had been received from the Director of Therapies and Health Science outside of the meeting. Members discussed the proposal and noted the £98k available in the general funds. Due to the bid potentially utilising 50% of the general funds, it was proposed to fund the bid for 1 year, instead of the proposed 2 years, at a final cost of £22,360. Approval of the bid would be ratified outside of the meeting once the evidence of the 3-month scoping exercise had been reviewed by the Committee. **Action: Charitable Funds Manager/Secretariat**

Members discussed the structure of effective giving, the future of the general funds and the communication outlining available funds. Katija Dew (KD), Committee Chair, discussed the possibility of encouraging the bids and projects to include other organisations and third sector partners, bringing in the funding experience and reach of those organisations. The possibility of including third sector partners in projects had potential to broaden funding opportunities with match funding arrangements.

In addition, members were notified of the following bid, as outlined in the report, *CFC-258 - Co-production (Patients/Service Users/Carers) Officer*. The Committee were unable to consider for funding due to limited resources.

The Committee thanked the Charitable Funds team for the update and -

- **APPROVED** Bid CFC-255 – Ratification of Bid for Approval of Staffing from Person Centred Team
- **APPROVED** Bid CFC-256 – Nurse for ABUHB Mild Cognitive Impairment Clinic
- **APPROVED** Bid CFC-257 – Wellbeing – Avoidable Employee Harm Programme Lead
- **NOTED** CFC-258 - Co-production (Patients/Service Users/Carers) Officer for **INFORMATION**

	Items for Information
<p>CFC 2710/13</p>	<p>Update Report to include an update on Land at Oakdale and an update on 13 Clytha Square</p> <p>Estelle Evans (EE), Head of Financial Services and Accounting, provided an update on the current position in relation to the Land at Oakdale and the future of 13 Clytha Square.</p> <p>Members were reminded that the Committee had approved disposal of the Land at Oakdale at the previous meeting. Communication had taken place with the Specialist Estates and Services Department in relation to the sale of the land and that a Heads of Terms document, in addition to market testing, would be required prior to any sale.</p> <p>Louise Wright (LW), Independent member, queried how the company were aware that the land was for sale, highlighting it had not been to market. Alison Griffiths (AG), Charitable Funds Manager, informed members that when the company were looking to access the land they contacted the Land Registry, who informed them that the land was owned by the Health Board. The Health Board had been approached twice, firstly by the owner of the joining land and secondly by Bron Afon Housing Association.</p> <p>13 Clytha Square was discussed. The report outlined several options including selling the building or maintaining the building. Members were informed that the Works and Estates team had provided an estimate of £95k for minor works as follows:</p> <ul style="list-style-type: none"> • £45k for redecoration and flooring for the whole building, noting that if this remained office accommodation there would be option for the Health Board to reclaim tax. • £20-35k to replace the fire escape. • £10-15k for roof repairs and the replacement of a fire door. <p>LW requested an update on the possibility of rehousing the teams currently located in the building. Members were informed that discussions had taken place with the Accommodation Group but there was no further update at present. Rob Holcombe, Interim Director of Finance, requested that discussions take place with the Divisions of the staff housed in 13 Clytha Square to consider other options, and offered support where required.</p> <p>Action: Head of Financial Services and Accounting</p> <p>Nicola Prygodzicz, Chief Executive, noted that this had been flagged as a priority and that a plan was required to re-accommodate the teams due to the anti-social behaviour associated with the building.</p> <p>The Committee thanked the Charitable Funds team for the report and NOTED the report for INFORMATION.</p>
<p>CFC 2710/14</p>	<p>Legislation Updates</p> <p>The Committee received the report outlining the legislative changes being introduced by the Charities Act 2022, which would amend the Charities Act 2011. The changes were expected to come into force in autumn 2022, spring</p>

	<p>2023 and autumn 2023. No significant impact was highlighted, and regular updates were included on the Committee work-plan.</p> <p>The Committee NOTED the report for INFORMATION.</p>
CFC 2710/15	<p>Audit of the Annual Accounts 2021/22</p> <p>Mark Ross (MR), Assistant Finance Director, provided an update on the audit of the annual accounts 2021/22 to the Committee. The Health Board had completed the accounts and was awaiting Audit Wales to complete the audit on the confirmed date of November 2022, allowing time for submission to the Charity Commission by the deadline of 31st January 2023. The January 2023 Committee meeting date had been amended to reflect the timelines.</p>
	<p>Fund Holders</p>
CFC 2710/16	<p>Brian Calcraft Legacy</p> <p>The Chair welcomed Sunil Dolwani, Consultant Gastroenterologist, Cardiff and Vale University Hospital, to the meeting.</p> <p>Sunil Dolwani, Consultant Gastroenterologist at Cardiff and Vale University Health Board presented the proposal for the funds associated with the Brian Calcraft legacy to the Committee.</p> <p>Members were informed that the aim of the Brian Calcraft fund was to support research and training in endoscopy to benefit longer term outcomes for patients with colorectal cancer. There would be a requirement of increased colonoscopy capacity due to planned changes being implemented to the bowel cancer screening programme, lowering the age for screening from 55 to 51 in 2023, and again to 50 in 2024. Current constraints were discussed, noting that, at present, training in time to meet potential demand may be an issue.</p> <p>The proposal was outlined for a Screening Colonoscopy Fellowship supported by the Brian Calcraft fund for a Speciality trainee to accelerate their training and focus on Screening level Key Performance Indicators; achieving their numbers and skills rapidly. The risks were discussed, noting the current time constraints on trainees' ability to upskill. In order to complete the required training, registrar rotas would be required to be undertaken in conjunction with the training, and funds would need to be utilised to provide backfill where necessary, to ensure continued service. Current workforce gaps were noted as considerable, possibly influencing applicants for the fellowship.</p> <p>Rob Holcombe (RH), Interim Finance Director, queried if the wider fellowship would be an advantage for future recruitment. Members were informed that the post would need to attract applicants by supporting them to have the best training experience. Previously, trainees had been supported to visit the National Cancer Centre in Japan, bringing vital advanced skills back to Wales.</p> <p>RH queried if there was future scope to look at the training offer for non-medical endoscopists. Members were informed that work was underway to encourage non-medical endoscopists to undertake the Screening Colonoscopy Fellowship, however, Wales was behind England and Scotland in appointing</p>

	<p>non-medical nursing workforce as screening Colonoscopists. Support had been put in place for all aspiring screeners, through weekend training workshops in Cardiff and Vale University Health Board. It was suggested that training was shared between Health Boards, for educational value and logistical reasons.</p> <p>A formal bid, outlining the proposal, would come back to the Committee for approval at a future meeting.</p> <p>The Committee thanked Sunil Dolwani for the update.</p> <p><i>Sunil Dolwani, Consultant Gastroenterologist at Cardiff and Vale University Health Board left the meeting.</i></p>
	Other Matters
CFC 2710/17	<p>To confirm any key risks and issues for reporting/escalation to Board and/or other Committees</p> <p>There were no items to escalate to Board or other Committees.</p>
	Date of Next Meeting
CFC 2710/18	<p>The date of the next meeting was noted as: - Thursday 19th January 2023 via Microsoft Teams.</p>

Outstanding	In Progress	Not Due	Completed	Transferred to another Committee
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Committee Meeting	Minute Reference	Agreed Action	Lead	Target Date	Progress/ Completed
June 2022	CFC 1006/06	Revised Terms of Reference The Committee requested any future changes to the Terms of Reference presented to the Committee showed as "tracked changes" to highlight the amendments.	Director of Corporate Governance	June 2023	Noted.
January 2022	CFC 1101/05	Final Annual Accounts & Report 2020/2021: The Committee requested assurance that Equality and Diversity Impact Assessments on all reports were populated going forward. Further guidance from the corporate team was requested.	Head of Corporate Governance	December 2022	Revised templates issued and being rolled out.
March 2022	CFC 0303/	13 Patient Reported Experience Measures (PREMS)/ Patient Reported Outcome Measures (PROMS) Update: The Committee welcomed wider discussions around this project at Board level and requested the progress be followed by CFC. Action: To be included in the Committee's annual report Katija Dew, Independent Member and Committee Chair, requested	Head of Financial Services & Accounting	January 2023	Included in the Annual Report



Committee Meeting	Minute Reference	Agreed Action	Lead	Target Date	Progress/ Completed
		that this also be shared with Board members.			
August 2022	CFC 0208/07 Finance Report, including Draft Annual Report & Accounts 21-22	The Committee requested that the final Annual Report was shared with all Board Members.	Head of Financial Accounting/ Secretariat	January 2023	Final Audited report included on the agenda (item 2.1)
August 2022	CFC 0208/14	Spending Plans Over £25k: The Charitable Funds teams to meet with fund holders and facilitate regular reviews on spending plans. The Chair requested that an exceptions report on the findings, mapping out spending plans, to be reported to the Committee every 6 months, with the first update in January 2023.	Charitable Funds Manager	January 2023	Programme commenced. Exception report to be presented at the March meeting.
October 2022	CFC 2710/07	Finance Report, including Key Performance Indicators (KPIs): Members were assured that Divisions were involved in the allocation of funds process. Further work would be undertaken with Divisions to open conversations around available funds.	Assistant Finance Director	January 2023	Process has commenced, meetings held with some divisional managers. Communication to be circulated.



Committee Meeting	Minute Reference	Agreed Action	Lead	Target Date	Progress/ Completed
October 2022	CFC 2710/08	Admin Charge & Unrealised Gain Apportionment 22-23: Members queried how the Health Boards Admin Fee compared across Wales. A table of comparisons to be presented at the next meeting.	Head of Financial Services and Accounting	January 2023	Included in the Month 8 Finance Report on the agenda (item 2.3)
October 2022	CFC 2710/09	Proposed Partnership Agreement- Newport County Football Club & Neonatal Unit The Charitable Funds team would chase the progress of the generic partnership agreement.	Head of Financial Services and Accounting	January 2023	Included on the Agenda (item 4.1)
October 2022	CFC 2710/10	Investment Management Contract Tender Update: Iwan Jones, Independent Member, to be invited to sit on the panel during the interview process, alongside finance and procurement colleagues.	Assistant Finance Director	January 2023	Noted. Invite to be issued
October 2022	CFC 2710/11	Funds Available, Proposed Change in Accessing Charitable Funds and Small Grants Scheme: A 12-month review on the Small Grants Scheme to come back to the Committee.	Assistant Finance Director/ Secretariat	October 2022	The 'Consideration of Bids/Small Grants' included as a standing item on the Committee Work Plan.
October 2022	CFC 2710/12	Bids to be considered by the Committee (relating to staffing or value over £25k): Approval of the bid CF259- <i>Occupational Therapy Staff Support</i> would be ratified outside of the meeting once the evidence of the 3-month scoping	Charitable Funds Manager/ Secretariat	October 2022	Requester notified that funding has been approved.



Committee Meeting	Minute Reference	Agreed Action	Lead	Target Date	Progress/ Completed
		exercise had been reviewed by the Committee.			
October 2022	CFC 2710/13	Update Report to include an update on Land at Oakdale and an update on 13 Clytha Square : In relation to 13 Clytha Square, discussions to take place with the Divisional leads of the staff housed in 13 Clytha Square, to consider options and offer support where required.	Head of Financial Services and Accounting	Ongoing	13 Clytha Square added to the work plan until complete.

All actions in this log are currently active and are either part of the Committee's forward work programme or require more immediate attention, such as an update on the action or confirmation that the item scheduled for the next Committee meeting will be ready.

Once the Committee is assured that an action is complete, it will be removed. This will be agreed at each Committee meeting.





GIG
CYMRU
NHS
WALES

Bwrdd Iechyd Prifysgol
Aneurin Bevan
University Health Board

Charitable Funds Committee
Thursday, 19th January 2023
Agenda Item: 2.1

Charitable Funds Committee

Annual Accounts & Annual Report 2021-2022

Executive Summary

This report presents the following:

- Annual Accounts for 2021/22
- Annual Report for 2021/22

The Charitable Funds Committee is asked to note this report and to approve the Annual Accounts and Annual Report of the charity for 2021/22.

The audit process is complete, and Audit Wales intend to issue an unqualified audit opinion on the accounts following approval of the accounts by the Board as corporate trustee.

The Committee is asked to:

Approve the Report	✓
Discuss and Provide Views	
Receive the Report for Assurance/Compliance	
Note the Report for Information Only	

Executive Sponsor: Robert Holcombe, Director of Finance, Procurement and Value Based Healthcare

Report Author: Estelle Evans, Head of Financial Services & Accounting

Report Received consideration and supported by:

Executive Team		Committee of the Board	Charitable Funds Committee
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Date of the Report: 23rd December 2022

Supplementary Papers Attached: Annual Accounts & Annual Report

Purpose of the Report

This report gives an overview of the Annual Accounts and Annual Report for the year ending 31 March 2021 for Aneurin Bevan University Health Board Charitable Fund and Other Related Charities and highlights the key issues that are contained in the accounts.

Background and Context

1. Background

Aneurin Bevan University Health Board, as corporate trustee of its charitable funds, has to file accounts for the year ended 31 March 2022 with the Charities Commission before 31 January 2023. The accounts and annual report are attached and have been subject to external audit by Audit Wales. The audit process is completed, and Audit Wales is expected to issue an unqualified audit opinion on the accounts following approval of the accounts by the Board as corporate trustee.

Following completion of the draft accounts, Audit Wales identified a number of minor amendments which are reflected in these final accounts and detailed in the attached Audit Wales report.

2. Key Issues

2.1 Annual Accounts

The detailed accounts are shown at Appendix 1 and the following are key points to note from the accounts for 2021/22:

Statement of Financial Activities for year ended 31 March 2022: (Page 2 of Accounts)

Income from Donations, Legacies and Grants has decreased by £235K from the previous year to £668K. There were 7 new legacies received in the year.

Investment Income (Note 7, Page 9 of Accounts)

Investment income has increased by £6K from the previous year to £187K due to an increase in income on investments.

Expenditure (Page 2 of Accounts and Notes 8 & 9, Page 10 of Accounts)

Expenditure has decreased by £311K. The main decrease in spend relates to patient & staff education & welfare and the purchase of new equipment.

The net impact of income and expenditure is an increase in funds £51K before investment gains, compared with a decrease of £70K in 2020/21. (Page 2 of Accounts)

Gains on Investment Assets (Page 2 of Accounts)

During the year there has been a net gain of £577K on investments, £480K relating to our CCLA investments reflecting stock market increases on underlying investments, and £97K relating to the increase in valuation of 13 Clytha Square.

The stock market increases since the previous year caused our CCLA investments to increase from £5,004K on 1st April 2021 to £5,484K on 31st March 2022 (Note 16, Page 13 of Accounts).

The net movement in funds for the year is therefore an increase of £628K. (Page 2 of Accounts)

Balance Sheet as at 31 March 2022 (Page 3 of Accounts)

Net assets / liabilities have increased by £628K reflecting the increase in funds during in the year. The main movement in the balance sheet mainly consists of an increase in the value of the CCLA investments. The balances sheet movements are as follows:

	£000
Investments	577
Increase in Cash Balances	135
Increase in Debtor Balances	43
Increase in Creditor Balances	-127
Total	628

The following table gives a more detailed breakdown of fixed assets (Note 16, Page 13 of Accounts):

	Balance 1 Apr 2021 £000	Disposals £000	Realised Gains / (Losses) £000	Unrealised Gains / (Losses) £000	Balance 31 Mar 2022 £000
Fixed Asset Investments					
Investments					
CCLA	5,004	-	-	480	5,484
	5,004	-	-	480	5,484
Property					
13 Clytha Square	153	-	-	97	250
TP Price - Newport / Six Bells	18	-	-	-	18
TP Price - Strip of land Oakdale	50	-	-	-	50
	221	-	-	97	318
Other					
Painting	25	-	-	-	25
Total per Note 16 of the Accounts	5,250	-	-	577	5,827

Cash Balances (Note 18, Page 14 of Accounts)

Cash at 31 March 2022 totalled £373K as follows:

	£000
Nat West Current Account	351
Santander Bonus Account	21
Petty Cash	1
Total	373

2.2 Annual Report

The Trustees Annual Report is shown at Appendix 2 and has been subject to audit by Audit Wales in conjunction with the Annual Accounts.

3 Next Stages and Actions

The Annual Accounts and Annual Report audit has been completed, and they will be presented to the Board for approval on 25th January 2023.

The final step will be to file the Annual Accounts and the Annual Report with the Charity Commission before the 31st January deadline, and the accounts and annual report will then be available on the Charity Commission website.

Assessment and Conclusion

This report presents the Annual Accounts and Annual Report of the charity for 2021/22.

Recommendation

The Charitable Funds Committee is asked to note this report and to approve the Annual Accounts and Annual Report of the charity for 2021/22.

Supporting Assessment and Additional Information

Risk Assessment (including links to Risk Register)	<i>Sound reporting of the charity's financial position helps ensure good financial management of the charity and reduces financial risk. The charity's reputation would be adversely affected if the accounts audit do not carry an unqualified audit opinion.</i>
Financial Assessment	<i>The charity finances show a similar position in terms of income and expenditure and net assets to previous periods and does not present any significant risks at this time.</i>
Quality, Safety and Patient Experience Assessment	<i>The ability of the charity to support donations to the NHS in Gwent help deliver an improved patient experience.</i>
Equality and Diversity Impact Assessment (including child impact assessment)	<i>A co-ordinated approach to the use of monetary donations will ensure that all patient groups and associated wellbeing needs are fully considered and spend prioritised.</i>
Health and Care Standards	<i>No direct health and care standards matters relating to this report, however it will contribute to the good Governance elements of the standard.</i>
Link to Integrated Medium Term Plan/Corporate Objectives	<i>Indirect link in as much as some purchases made through the charity could reduce pressure on the capital and revenue financial pressures of the main Health Board.</i>
The Well-being of Future Generations (Wales) Act 2015 – 5 ways of working	Long Term - <i>Not applicable to this report</i>
	Integration – <i>Not applicable to this report</i>
	Involvement – <i>Not applicable to this report</i>
	Collaboration – <i>Not applicable to this report</i>
	Prevention – <i>Not applicable to this report</i>
Glossary of New Terms	
Public Interest	<i>Report available in the public domain as part of the Charitable Funds Committee papers.</i>

Aneurin Bevan University Local Health Board Charitable Fund and Other
Related Charities

The accounts for Funds Held on Trust.

FOREWORD

The Charity's accounts and annual report for the year ended 31st March 2022 have been prepared by the Corporate Trustee in accordance with the Charities Act 2011 and the Charities (Accounts and Reports) Regulations 2008.

STATUTORY BACKGROUND

Aneurin Bevan University Local Health Board is the Corporate Trustee of the Funds Held on Trust under the National Health Service (Wales) Act 2006.

The Aneurin Bevan University Local Health Board and Other Related Charities registered with the Charity Commission on 7th January 2011, registration number 1098728.

Statement of Financial Activities for the year ended 31 March 2022

	Note	Unrestricted funds £000	Restricted Income funds £000	Total Funds 2021-22 £000
Incoming resources from generated funds:				
Donations, legacies and grants	4	399	269	668
Charitable activities	5	126	0	126
Investments	7	149	38	187
Total incoming resources		674	307	981
Expenditure on:				
Raising Funds	8	8	0	8
Charitable activities	9	716	206	922
Total expenditure		724	206	930
Net gains / (losses) on investments	16	539	38	577
Net income / (expenditure)		489	139	628
Transfers between funds	22	0	0	0
Net Movement in funds		489	139	628
Reconciliation of Funds				
Total Funds brought forward	23	4,313	1,103	5,416
Total Funds carried forward		4,802	1,242	6,044

Statement of Financial Activities for the year ended 31 March 2021

	Note	Unrestricted funds £000	Restricted Restricted Income funds £000	Total Total Funds 2020-21 £000
Incoming resources from generated funds:				
Donations, legacies and grants	4	685	218	903
Charitable activities	5	87	0	87
Investments	7	145	36	181
Total incoming resources		917	254	1,171
Expenditure on:				
Raising Funds	8	5	0	5
Charitable activities	9	954	282	1,236
Total expenditure		959	282	1,241
Net gains / (losses) on investments	16	526	145	671
Net income / (expenditure)		484	117	601
Transfers between funds	22	(7)	7	0
Net Movement in funds		477	124	601
Reconciliation of Funds				
Total Funds brought forward	23	3,836	979	4,815
Total Funds carried forward		4,313	1,103	5,416

Balance Sheet as at 31 March 2022

	Note	Unrestricted funds £000	Restricted Income funds £000	Total 31 March 2022 £000	Total 31 March 2021 £000
Fixed assets:					
Investments	16	4,580	1,247	5,827	5,250
Total fixed assets		4,580	1,247	5,827	5,250
Current assets:					
Debtors	17	211	20	231	188
Cash and cash equivalents	18	288	85	373	238
Total current assets		499	105	604	426
Liabilities:					
Creditors: Amounts falling due within one year	19	277	110	387	260
Net current assets / (liabilities)		222	(5)	217	166
Total net assets / (liabilities)		4,802	1,242	6,044	5,416
The funds of the charity:					
Restricted income funds	23	0	1,242	1,242	1,103
Unrestricted income funds	23	4,802	0	4,802	4,313
Total funds		4,802	1,242	6,044	5,416

The notes on pages 5 to 17 form part of these accounts

Approved by the Trustees on 25 January 2023 and signed on its behalf by:

Signed :

Name : (Chair of Trustees)

Statement of Cash Flows for the year ending 31 March 2022

	Note	Total Funds 2021-22 £000	Total Funds 2020-21 £000
Cash flows from operating activities:			
Net cash provided by (used in) operating activities	21	(52)	(100)
Cash flows from investing activities:			
Dividend, interest and rents from investments	7	187	181
Proceeds from the sale of investments	16	0	28
Net cash provided by (used in) investing activities		187	209
Change in cash and cash equivalents in the reporting period		135	109
Cash and cash equivalents at the beginning of the reporting period	18	238	129
Cash and cash equivalents at the end of the reporting period	18	373	238

Note on the accounts

1 Accounting Policies

(a) Basis of preparation

The financial statements have been prepared under the historic cost convention, with the exception of investments which are included at market value.

The accounts (financial statements) have been prepared in accordance with the Statement of Recommended Practice: Accounting and Reporting by Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued in October 2019 and the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102) and the Charities Act 2011 and UK Generally Accepted Practice as it applies from 1 January 2019.

The accounts (financial statements) have been prepared to give a 'true and fair' view and have departed from the Charities (Accounts and Reports) Regulations 2008 only to the extent required to provide a 'true and fair view'. This departure has involved following Accounting and Reporting by Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued in October 2019 rather than the Accounting and Reporting by Charities: Statement of Recommended Practice effective from 1 April 2005 which has since been withdrawn.

The Trustees consider that there are no material uncertainties about the Charity's ability to continue as a going concern. There are no material uncertainties affecting the current year's accounts.

In future years, the key risks to the Charity are a fall in income from donations or investment income but the trustees have arrangements in place to mitigate those risks (see the risk management and reserves sections of the annual report for more information).

The Charity meets the definition of a public benefit entity under FRS 102.

(b) Funds structure

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified as a restricted fund.

Restricted funds are those where the donor has provided for the donation to be spent in furtherance of a specified charitable purpose. The Charity's restricted funds tend to result from appeals, grants and legacies for specified purposes.

Those funds which are not restricted income funds, are unrestricted income funds which are sub-analysed between designated (earmarked) funds where the Trustees have set aside amounts to be used for specific purposes or which reflect the non-binding wishes of donors and unrestricted funds which are at the Trustees' discretion, including the general fund which represents the Charity's reserves. The major funds held in each of these categories are disclosed in note 23.

(c) Incoming resources

Income consists of donations, legacies, grants, income from charitable activities and investment income.

Donations are accounted for when received by the charity. All other income is recognised once the Charity has entitlement to the resources, it is probable (more likely than not) that the resources will be received and the monetary value of incoming resources can be measured with sufficient reliability.

Where there are terms or conditions attached to incoming resources, particularly grants, then these terms or conditions must be met before the income is recognised as the entitlement condition will not be satisfied until that point. Where terms or conditions have not been met or uncertainty exists as to whether they can be met then the relevant income is not recognised in the year but deferred and shown on the balance sheet as deferred income.

(d) Incoming resources from legacies

Legacies are accounted for as incoming resources either upon receipt or where the receipt of the legacy is probable.

Receipt is probable when:

- Confirmation has been received from the representatives of the estate(s) that probate has been granted
- The executors have established that there are sufficient assets in the estate to pay the legacy and
- All conditions attached to the legacy have been fulfilled or are within the Charity's control.

If there is uncertainty as to the amount of the legacy and it cannot be reliably estimated then the legacy is shown as a contingent asset until all of the conditions for income recognition are met.

(e) Resources expended and irrecoverable VAT

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to each category of expense shown in the Statement of Financial Activities. Expenditure is recognised when the following criteria are met:

- There is a present legal or constructive obligation resulting from a past event
- It is more likely than not that a transfer of benefits (usually a cash payment) will be required in settlement
- The amount of the obligation can be measured or estimated reliably.

Irrecoverable VAT is charged against the category of resources expended for which it was incurred.

(f) Support costs

Support costs are those costs which do not relate directly to a single activity. These include staff costs, costs of administration, internal and external audit costs. Support costs have been apportioned between fundraising costs and charitable activities on an appropriate basis. The analysis of support costs and the bases of apportionment applied are shown in note 12.

(g) Fundraising costs

The costs of generating funds are those costs attributable to generating income for the charity, other than those costs incurred in undertaking charitable activities or the costs incurred in undertaking trading activities in furtherance of the charity's objects. The costs of generating funds consists of expenses for fundraising activities.

(h) Charitable activities

Costs of charitable activities comprise all costs incurred in the pursuit of the charitable objects of the charity. These costs, where not wholly attributable, are apportioned between the categories of charitable expenditure in addition to the direct costs. The total costs of each category of charitable expenditure include an apportionment of support costs as shown in note 9.

(i) Fixed Asset Investments

Ethical Fund Investments are stated at mid-market value as at the balance sheet date, and Property Fund investments are stated at net asset value. Investment properties are included at their open market valuation. The statement of financial activities includes the net gains and losses arising on revaluation and disposals throughout the year.

Quoted stocks and shares are included in the balance sheet at mid-market price, excluding dividend.

Other assets are valued on an open market basis by a professional valuer.

(j) Debtors

Debtors are amounts owed to the charity. They are measured on the basis of their recoverable amount.

(k) Cash and cash equivalents

Cash at bank and in hand is held to meet the day to day running costs of the charity as they fall due.

(l) Creditors

Creditors are amounts owed by the charity. They are measured at the amount that the charity expects to have to pay to settle the debt.

(m) Realised gains and losses

All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening carrying value (purchase date if later). Unrealised gains and losses are calculated as the difference between the market value at the year end and opening carrying value (or purchase date if later).

2. Key Judgements and Assumptions

Average fund balances are used to apportion certain balances between restricted and unrestricted funds, which is deemed to be the most appropriate methodology to use.

There are no material assumptions judged to affect the 2021/22 accounts.

As at 31st March 2022, there is no other information to show that assets/liabilities would need to be materially adjusted during 2022/23.

3. Related party transactions

The Aneurin Bevan University Local Health Board is the sole beneficiary of the charity.

The charity had significant material transactions with Aneurin Bevan University Local Health Board as follows:

	2021-22		As at 31st March 2022	
	Expenditure to related party	Income from related party	Amounts owed to related party	Amounts due from related party
	£000	£000	£000	£000
Aneurin Bevan University Local Health Board	930	24	175	5

The Corporate Trustee has interests in related parties as follows:

Member	Related Organisation	Relationship with Related Party	2021-22		As at 31st March 2022	
			Expenditure to related party	Income from related party	Amounts owed to related party	Amounts due from related party
			£000	£000	£000	£000
Robert Holcombe	JW Bowkett (Electrical Installation) Ltd	Son is an Employee of the Company	1	0	0	0
Emrys Elias	Velindre NHS Trust	Spouse is Employee (Seconded to Health Inspectorate Wales)	0	0	0	0
Richard G Clark	Torfaen County Borough Council	County Borough Councillor, Deputy Leader and Elected Member	0	0	0	0
Prof Helen Sweetland	Cardiff University	Employed	6	1	6	1

The Corporate Trustee has interests in a number of related parties. All transactions with those related parties have been reviewed and there are nil transactions except for those listed above.

3. Related party transactions (continued)

In 2020-21 the charity had significant material transactions with Aneurin Bevan University Local Health Board as follows:

	2020-21		As at 31st March 2021	
	Expenditure to related party	Income from related party	Amounts owed to related party	Amounts due from related party
	£000	£000	£000	£000
Aneurin Bevan University Local Health Board	1,170	17	25	32

The Corporate Trustee had interests in related parties as follows:

Member	Related Organisation	Relationship with Related Party	2020-21		As at 31st March 2021	
			Expenditure to related party	Income from related party	Amounts owed to related party	Amounts due from related party
			£000	£000	£000	£000
Glyn Jones	NHS Wales Informatics Service (Hosted by Velindre NHS Trust)	Sister is Project Manager	1	0	0	0
	Swansea Bay University Health Board	Niece is on the NHS Wales Graduate Finance Training Scheme	0	21	0	0
David Street	Caerphilly County Borough Council	Corporate Director, Social Services and Housing	0	1	0	0

The Corporate Trustee has interests in a number of related parties. All transactions with those related parties have been reviewed and there are nil transactions except for those listed above.

4. Income from donations, legacies and grants

	Unrestricted funds £000	Restricted Income funds £000	Total 2021-22 £000	Total 2020-21 £000
Donations	399	0	399	685
Legacies	0	170	170	42
Grants	0	99	99	176
	399	269	668	903

5. Income from charitable activities

	Unrestricted funds £000	Restricted Income funds £000	Total 2021-22 £000	Total 2020-21 £000
Course income	101	0	101	73
Other income	25	0	25	14
	126	0	126	87

6. Role of volunteers

Volunteers have the trust and understanding of the community and are invaluable in engaging with our patients and providing support to paid professionals. The services they provide include:

- welcome services at our hospitals, directing patients and visitors
- befriending service for patients in our hospitals and nursing homes
- end of life companion support for patients in our hospitals
- operating buffet /coffee bars through volunteer organisations such as the League of Friends
- raising funds to purchase equipment across our hospitals.

Due to the pandemic and in order to comply with Government guidelines and hospital infection control measures, some of these volunteer services were suspended during the year.

In accordance with the SORP, due to the absence of any reliable measurement basis, the contribution of these volunteers is not recognised in the accounts.

7. Gross investment income

	Unrestricted funds £000	Restricted Income funds £000	Total 2021-22 £000	Total 2020-21 £000
Fixed asset equity and similar investments	149	38	187	181
Short term investments, deposits and cash on deposit	0	0	0	0
	149	38	187	181

8. Analysis of expenditure on raising funds

	Unrestricted funds £000	Restricted Income funds £000	Total 2021-22 £000	Total 2020-21 £000
Fundraising office	0	0	0	0
Fundraising events	3	0	3	1
Investment management	0	0	0	0
Support costs	5	0	5	4
	8	0	8	5

The fundraising office costs relate to the new Breast Care unit and are no longer being incurred by the Charity
The investment management fee is shown as nil as this is deducted from capital held by the investment management company.

9. Analysis of charitable activity

	Grant funded activity £000	Support costs £000	Total 2021-22 £000	Total 2020-21 £000
Medical research	3	0	3	10
Purchase of new equipment	339	58	397	505
Building and refurbishment	31	5	36	18
Staff education and welfare	224	38	262	371
Patient education and welfare	191	33	224	332
	788	134	922	1,236

10. Analysis of grants

The majority of grants are made to the Health Board to provide for the care of NHS patients in furtherance of our charitable aims. The charity makes grants to individuals from one specific fund which is used to support nominated medical students from deprived areas. The total cost of making grants, including support costs, is disclosed on the face of the Statement of Financial Activities and the actual funds spent on each category of charitable activity is disclosed in note 9.

The trustees operate a scheme under which Fund Account Managers control how the Charity's designated funds should be spent. The day to day disbursements are in accordance with the directions set out by the trustees in the Charity's Standing Orders and Financial Instructions.

Where undesignated funds exist, the trustees do make grant awards based on applications from across the Health Board.

11. Movements in funding commitments

	Current liabilities £000	Non-current liabilities £000	Total 31 March 2022 £000	Total 31 March 2021 £000
Opening balance at 1 April	406	0	406	501
Movement in funding commitments	(132)	0	(132)	(95)
Closing balance at 31 March 2022	274	0	274	406

As described in notes 9 and 10, the charity awards a number of grants in the year. Many grants are awarded and paid out in the same financial year. However, some grants are paid over a longer period.

12. Apportionment of support costs

Support and overhead costs are allocated between fundraising activities and charitable activities. Governance costs are those support costs which relate to the strategic and day to day management of a charity.

	Raising funds £000	Charitable activities £000	Total 2021-22 £000	Total 2020-21 £000	Basis
Governance					
External audit	0	16	16	9	Average fund balance
Finance and administration	4	61	65	61	Average fund balance
Total governance	4	77	81	70	
Finance and administration	1	57	58	56	Average fund balance
Total Finance and Administration	1	57	58	56	
	5	134	139	126	

	Unrestricted funds £000	Restricted Income funds £000	Total Funds 2021-22 £000	Total Funds 2020-21 £000
Raising funds	5	0	5	4
Charitable activities	106	28	134	122
	111	28	139	126

13. Trustees' remuneration, benefits and expenses

The charity does not make any payments for remuneration nor to reimburse expenses to the charity trustees for their work undertaken as trustee.

14. Analysis of staff costs

The charity has no employees. Staff services are provided to the charity by Aneurin Bevan University Local Health Board, the Corporate Trustee of the charity, which has received reimbursement of £200,198 (2020-21: £359,974) for these services.

15. Auditors remuneration

The auditors remuneration of £16,314 (2020-21: £9,000) related solely to the audit of the statutory annual report and accounts.

16. Fixed asset investments

Movement in fixed assets investments

	Investment Properties £000	Investments Listed on Stock Exchange £000	Cash Held in Investment Portfolio £000	Other Assets £000	Total 2021-22 £000	Total 2020-21 £000
Market value brought forward	221	5,004	0	25	5,250	4,607
Add: additions to investments at cost	0	0	0	0	0	0
Less disposals at carrying value	0	0	0	0	0	(28)
Add any gain / (loss) on revaluation	97	480	0	0	577	671
Movement of cash held as part of the investment portfolio	0	0	0	0	0	0
Market value as at 31st March 2022	318	5,484	0	25	5,827	5,250

All investments are carried at their market value.

The investment valuation has been performed by CCLA Investment Management Limited.

The valuation of investment properties, consisting of a freehold ground rent and a small parcel of land, is based on a professional assessment of market value, by independent RICS (Royal Institute of Chartered Surveyors) qualified valuers at least every five years.

The significance of financial instruments to the ongoing financial sustainability of Aneurin Bevan University Health Board Charitable Fund is considered in the risk management section of the trustees' annual report.

The Charity receive the majority of their income in the form of donations. However, significant investments are made and are the source of the main financial risk.

Interest rate risk - the Charity is exposed to fluctuations in interest rates on the monies invested in deposits and the stock market.

Liquidity risk - the majority of expenditure is financed from donations and legacies and there are no borrowings. The Charity is not, therefore, exposed to significant liquidity risk.

17. Analysis of current debtors

Debtors under 1 year	Total 31 March 2022 £000	Total 31 March 2021 £000
Accrued income	71	96
Trade Debtors	133	81
Prepayments	27	11
	231	188

18. Analysis of cash and cash equivalents

	Total 31 March 2022 £000	Total 31 March 2021 £000
Current Accounts	372	237
Petty Cash	1	1
	373	238

No cash or cash equivalents or current asset investments are held in non-cash investments or outside of the UK.

All of the amounts held on interest bearing deposit are available to spend on charitable activities.

19. Analysis of liabilities

Creditors under 1 year	Total 31 March 2022 £000	Total 31 March 2021 £000
Trade creditors	259	59
Deferred Income	125	200
Accruals	3	1
Total creditors	387	260

20. Analysis of Deferred Income

	Total 1 April 2021 £000	Deferred £000	Released £000	Total 31 March 2022 £000
Grant Income	184	12	(112)	84
Other Income	16	41	(16)	41
	200	53	(128)	125

21. Reconciliation of net income / expenditure to net cash flow from operating activities

	Total 2021-22 £000	Total 2020-21 £000
Net income / (expenditure) (per Statement of Financial Activities)	628	601
Adjustment for:		
(Gains) / losses on investments	(577)	(671)
Dividends, interest and rents from investments	(187)	(181)
(Increase) / decrease in debtors	(43)	45
Increase / (decrease) in creditors	127	106
Net cash provided by (used in) operating activities	<u>(52)</u>	<u>(100)</u>

22. Transfer between funds

Transfers have been actioned between Unrestricted funds during 2021/22 to streamline the number of funds in line with the Charitable Funds Committee plans to merge and reduce the number of Charitable Funds.

23. Analysis of funds

a. Analysis of restricted fund movements

	Balance 1 April 2021 £000	Income £000	Expenditure £000	Transfers £000	Gains and losses £000	Balance 31 March 2022 £000
Royal Gwent Childrens Ward Legacy	153	5	(13)	0	5	150
Royal Gwent Coronary Care Legacy	112	3	(3)	0	4	116
ABUHB Calcraft Legacy Fund	0	100	0	0	0	100
Nevill Hall Rheumatology Legacy	96	3	(2)	0	3	100
Renal Unit Legacy	82	2	(12)	0	3	75
Nevill Hall Coronary Care Legacy	75	2	(5)	0	3	75
Royal Gwent Haematology Legacy	58	2	(1)	0	2	61
Royal Gwent Breast Care Legacy	58	2	(1)	0	2	61
Chepstow General Legacy	50	1	(1)	0	2	52
Other - 56 restricted designated funds	419	187	(168)	0	14	452
	<u>1,103</u>	<u>307</u>	<u>(206)</u>	<u>0</u>	<u>38</u>	<u>1,242</u>

The material funds specified in the above note will vary from year to year dependent on the closing year end balance.

We consider that a closing fund balance of £50,000 or greater are material for disclosure in these accounts.

23. Analysis of funds (continued)

The objects of each of the restricted funds are as follows:

The Royal Gwent Hospital Children's Ward Legacy Fund was bequeathed to the charity for the benefit of the Children's Ward. A proposal for the purchase of various equipment to improve patient care and access was approved by the Charitable Funds Committee.

The Royal Gwent Hospital Coronary Care Unit Legacy Fund was bequeathed to the charity for the provision of medical facilities, towards the cost of providing equipment for the heart unit at the hospital.

The ABUHB Calcraft Legacy fund was bequeathed to the charity to assist in colonoscopy practices within the Health Board.

The Nevill Hall Rheumatology Legacy Fund was bequeathed to the charity for the use of the Rheumatology department at the hospital.

The Renal Unit Legacy Fund was bequeathed to the charity for the purpose connected with the research and treatment of patients under haemodialysis treatment (kidney unit).

The Nevill Hall Coronary Care Legacy Fund was bequeathed to the charity for the use of the heart unit at the hospital.

The Royal Gwent Haematology Fund was bequeathed to the charity for the Haematology Unit at the Royal Gwent Hospital.

The Royal Gwent Breast Care Legacy Fund was bequeathed to the charity for the Breast Care Unit at the Royal Gwent Hospital.

The Chepstow General Legacy was bequeathed to the charity for the Chepstow Community Hospital.

The other 56 restricted funds also related to monies bequeathed to the charity for various wards and departments.

23. Analysis of funds (continued)**b. Analysis of unrestricted and material designated fund movements**

	Balance 1 April 2021	Income	Expenditure	Transfers	Gains and losses	Balance 31 March 2022
	£000	£000	£000	£000	£000	£000
ABUHB Charitable Funds Committee	186	12	(22)	216	7	399
ABUHB Breast Centre	171	57	(8)	10	6	236
Royal Gwent Property, 13 Clytha Square	117		0	36	97	250
St Woolos Springfield Fund	107	3	(3)		4	111
ABUHB Post Graduate Fund	106	5	(8)	0	4	107
Royal Gwent Casting Techniques Course	87	18	(5)		3	103
ABUHB Covid-19	85	51	(28)	(10)	3	101
Nevill Hall Voluntary Body	96	3	(7)		3	95
Royal Gwent Post Grad Eye	39	3	(2)	45	3	88
ABUHB Employee Well Being Service	60	27	(3)		2	86
Grange Critical Care Unit	110	8	(38)		3	83
ABUHB C.H.A.aT Volunteer Service	75	3	(18)	20	3	83
ABUHB Ffrind I Mi Volunteer Service	95	3	(18)		3	83
Nevill Hall Oncology Rehabilitation	73	2	(2)		3	76
Monmouth Chippenham Community Nurses	78	2	(11)		3	72
Royal Gwent Breast Care Unit	69	2	(2)		3	72
Royal Gwent Property, TP Price	54	2	(33)	45	0	68
Royal Gwent Prostate Cancer Fund	60	2	(2)		2	62
ABUHB Volunteering (Corporate)	42	16	(1)		1	58
ABUHB Rheumatology	56	2	(2)		2	58
Ysbyty Ystrad Fawr Adult Medicine	55	2	(4)		2	55
Nevill Hall Windsor Suite	32	20	(1)		1	52
Other - 343 unrestricted designated funds	2,460	431	(506)	(362)	381	2,404
	4,313	674	(724)	0	539	4,802

The trustees have delegated all unrestricted funds as designated funds to the ward or department the donations were intended for.

The material funds specified in the above note will vary from year to year dependent on the closing year end balance.

We consider that a closing fund balance of £50,000 or greater are material for disclosure in these accounts.

24. Events after the reporting period

Our CCLA investments have increased in value to pre-pandemic levels but growth in the year ahead is expected to be challenging as a result of the war in Ukraine and a slow down in the global economy.

Statement of Trustee's Responsibilities

The law applicable to charities in England and Wales requires the Trustee to prepare financial statements for each financial year which give a true and fair view of the charity's financial activities during the year and its financial position at the end of the year. In preparing financial statements giving a true and fair view, the Trustee should follow best practice and :

- select suitable accounting policies and apply them consistently;
- observe the methods and principles of the Charities SORP;
- make judgements and estimates that are reasonable and prudent;
- state whether applicable accounting standards and statements of recommended practice have been followed, subject to any departures disclosed and explained in the financial statements; and
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue in operation.

The Trustee is responsible for keeping accounting records which disclose with reasonable accuracy the financial position of the charity and which enable them to ascertain the financial position of the charity and which enable them to ensure that the financial statements comply with the Charities Act 2011, the Charity (Accounts and Reports) Regulations 2008 and the provisions of the trust deed. The Trustee is responsible for safeguarding the assets of the charity and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

The Trustee confirms that they have complied with the above requirements.

By order of the trustee

Ann Lloyd
Trustee

Rob Holcombe
Financial Trustee

Dated:

The independent auditor's report of the Auditor General for Wales to the trustee of Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities

Opinion on financial statements

I have audited the financial statements of Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities for the year ended 31 March 2022 under the Charities Act 2011. These comprise the Statement of Financial activities, Balance Sheet, Statement of Cash Flows and related notes, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102 The Financial Reporting Standard applicable in the UK and Republic of Ireland (United Kingdom Generally Accepted Accounting Practice).

In my opinion the financial statements:

- give a true and fair view of the state of affairs of the charity as at 31 March 2022 and of its incoming resources and application of resources for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the Charities Act 2011.

Basis for opinion

I conducted my audit in accordance with applicable law and International Standards on Auditing in the UK (ISAs (UK)) and Practice Note 10 'Audit of Financial Statements of Public Sector Entities in the United Kingdom'. My responsibilities under those standards are further described in the auditor's responsibilities for the audit of the financial statements section of my report. I am independent of the charity in accordance with the ethical requirements that are relevant to my audit of the financial statements in the UK including the Financial Reporting Council's Ethical Standard, and I have fulfilled my other ethical responsibilities in accordance with these requirements. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

Conclusions relating to going concern

In auditing the financial statements, I have concluded that the use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

Based on the work I have performed, I have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the body's ability to continue to adopt the going concern basis of accounting for a period of at least twelve months from when the financial statements are authorised for issue.

My responsibilities and the responsibilities of the trustee with respect to going concern are described in the relevant sections of this report.

Other information

The other information comprises the information included in the annual report other than the financial statements and my auditor's report thereon. The trustee is responsible for the other information contained within the annual report. My opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in my report, I do not express any form of assurance conclusion thereon. My responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or knowledge obtained in the course of the audit, or otherwise appears to be materially misstated. If I identify such material inconsistencies or apparent material misstatements, I am required to determine whether this gives rise to a material misstatement in the financial statements themselves. If, based on the work I have performed, I conclude that there is a material misstatement of this other information, I am required to report that fact.

I have nothing to report in this regard.

Report on other requirements

Matters on which I report by exception

I have nothing to report in respect of the following matters in relation to which the Charities (Accounts and Reports) Regulations 2008 require me to report to you if, in my opinion:

- the information given in the financial statements is inconsistent in any material respect with the trustees' report;
- sufficient accounting records have not been kept, or returns adequate for my audit have not been received from branches not visited by my team;
- the financial statements are not in agreement with the accounting records and returns; or
- I have not received all of the information and explanations I require for my audit.

Responsibilities

Responsibilities of the trustee for the financial statements

As explained more fully in the statement of trustee's responsibilities set out on page 18, the trustee is responsible for preparing the financial statements in accordance with the Charities Act 2011, for being satisfied that they give a true and fair view, and for such internal control as the trustee determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustee is responsible for assessing the charity's ability to continue as a going concern, disclosing as applicable, matters related to going concern and using the going concern basis of accounting unless deemed inappropriate.

Auditor's responsibilities for the audit of the financial statements

I have been appointed as auditor under section 150 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act.

My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

Irregularities, including fraud, are instances of non-compliance with laws and regulations. I design procedures in line with my responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud.

My procedures included the following:

- Enquiring of management, including obtaining and reviewing supporting documentation relating to Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities' policies and procedures concerned with:
 - identifying, evaluating and complying with laws and regulations and whether they were aware of any instances on non-compliance;
 - detecting and responding to the risks of fraud and whether they have knowledge of any actual, suspected or alleged fraud; and
 - the internal controls established to mitigate risks related to fraud or non-compliance with laws and regulations.

- Considering as an audit team how and where fraud might occur in the financial statements and any potential indicators of fraud. As part of this discussion, I identified potential for fraud in the following areas: revenue recognition and posting of unusual journals.
- Obtaining an understanding of Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities' framework of authority as well as other legal and regulatory frameworks that the Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities operated in, focusing on those laws and regulations that had a direct effect on the financial statements or that had a fundamental effect on the operations of Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities.

In addition to the above, my procedures to respond to identified risks included the following:

- reviewing the financial statement disclosures and testing to supporting documentation to assess compliance with relevant laws and regulations discussed above;
- reading minutes of meetings of those charged with governance and the trustee; and
- in addressing the risk of fraud through management override of controls, testing the appropriateness of journal entries and other adjustments; assessing whether the judgements made in making accounting estimates are indicative of a potential bias; and evaluating the business rationale of any significant transactions that are unusual or outside the normal course of business.

I also communicated relevant identified laws and regulations and potential fraud risks to all audit team and remained alert to any indications of fraud or non-compliance with laws and regulations throughout the audit.

The extent to which my procedures are capable of detecting irregularities, including fraud, is affected by the inherent difficulty in detecting irregularities, the effectiveness of the Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities' control, and the nature, timing and extent of audit procedures performed.

A further description of the auditor's responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website www.frc.org.uk/auditorsresponsibilities. This description forms part of my auditor's report.

Adrian Crompton
Auditor General for Wales
27 January 2023

24 Cathedral Road
Cardiff
CF11 9LJ



GIG
CYMRU
NHS
WALES

Bwrdd Iechyd Prifysgol
Aneurin Bevan
University Health Board

Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities

Trustee's Annual Report and Accounts 2021-2022

Registered Charity No: 1098728



Message from our Chair

It has been another tough year for all of us. Your donations continue to help us improve the care and treatment our patients receive and support our staff in delivering exceptional healthcare.

Please discover the story of our financial year and see the snapshot of the year below as a reminder of all that we have achieved.



You made it possible!

Thank You!

*Katija Dew, Chair
Charitable Funds Committee*

Message from our Chief Executive



As we reflect on the past year, my heartfelt thanks goes out to all those dedicated and generous donors who have supported us.

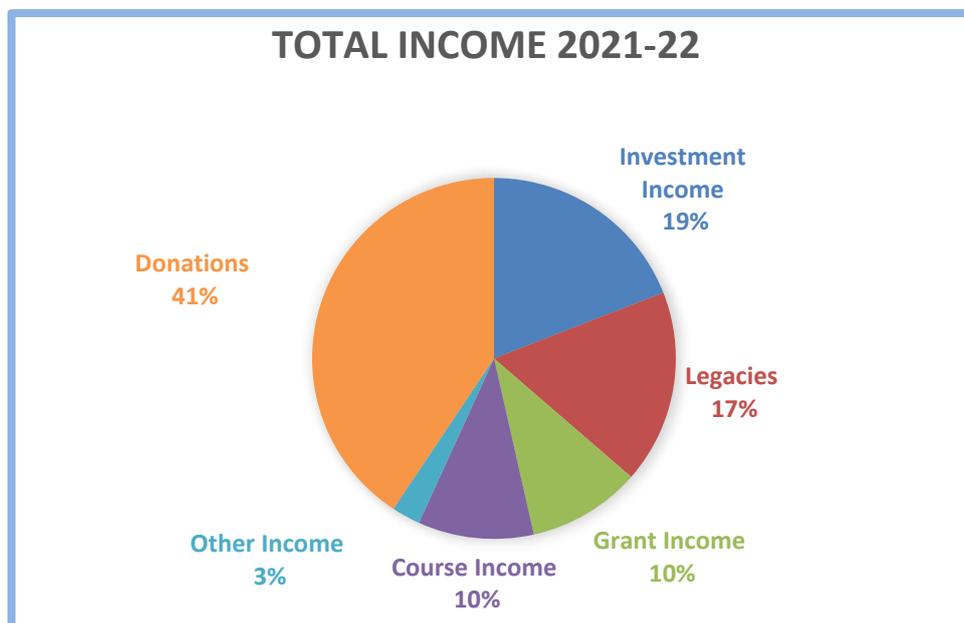
I am delighted to share our highlights with you

*Glyn Jones
Interim Chief Executive*

Snapshot of 2021-22

INCOME – How we received our money

This year we received £981,000



Donations £399,000

The donations we received is thanks to the generosity of patients, their relatives and friends in recognition of the care and treatment received from Aneurin Bevan University Local Health Board.

Courses and Other Income £126,000

We received £126,000 from course fees and sponsorship. These are run mainly through our Postgraduate department.

Income from Investments £187,000

We received £187,000 from our investment portfolio.

Legacies £170,000

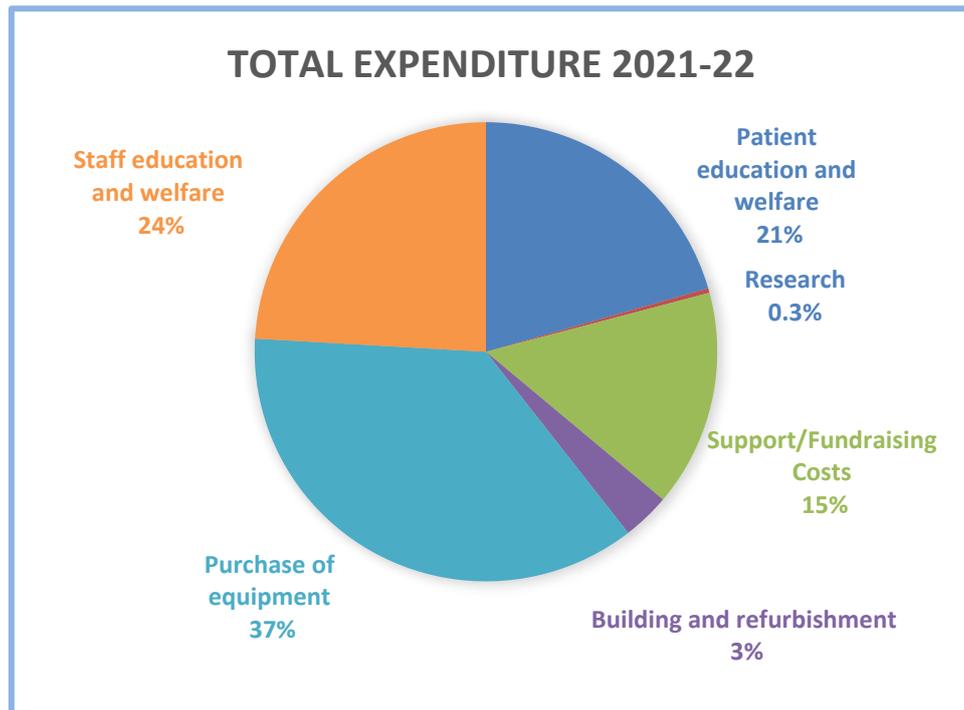
We received s legacies totalling £170,000. We are extremely grateful to those individuals who have had the generosity to leave a gift to us in their will.

Grant Income £99,000

We have received £99,000 from external organisations to fund specific schemes / projects. Some of this funding was received from NHS Charities Together – monies raised by Captain Sir Tom Moore and others.

EXPENDITURE – How we spent our money

This year we spent £930,000



Patient Education and Welfare £191,000

This year we have used this money to continue services including:

- an End-of-Life Companion service which enables trained volunteers to sit with patients who may be at risk of dying alone.
- The continued support of the Robins services, who assist patients with menu choices on the wards, mealtime support, contributing to their wellbeing and dignity, to Nevill Hall Hospital and the Grange University Hospital, providing an equitable service across our hospitals.

Building and Refurbishment £31,000

We spent this mainly on establishing a research office in Nevill Hall Hospital and improving the environment, making better use of our rooms for our patients and staff.

Research £3,000

This was used to pay for the cost of analysing samples for a research study.

Staff Education and Welfare £224,000

This was spent on additional support for our Employee Well-being Service and continued support for training, where it has been possible and the purchase of educational materials to develop our staff.

It was agreed to use charitable funds to purchase Hydration Bottles which were presented to staff to say thank you to all our staff and to recognise the exemplary contribution our staff have made and continue to make during the Covid-19 pandemic.

Support Costs £139,000

This covers the cost of the Charitable Funds Team, Corporate support and auditor fee in administering the funds.

Equipment £339,000

You have made it possible for us to purchase numerous items of much needed equipment for various wards and departments across the Health Board, such as pulse oximeters, bladder scanners and ECG machines.

Other items include a simulation training suite for students and volunteers, defibrillators and cabinets for the community, MacBooks for students, laptops for staff and furniture for our new medical education centre at the Grange University Hospital

A list of key purchases follows.

You made it possible ...

... for us to provide these key purchases

Charitable Funds are spent in line with the Charity's objects and are intended to provide those additional amenities that will improve the conditions under which patients are cared for and treated or staff work.

- **RITA Dementia Packages x 2, Ysbyty Aneurin Bevan**



Reminiscence Interactive Therapy Activities (RITA) software is aimed at augmenting the care delivered to older people, those living with Dementia, Alzheimer's and learning difficulties as a means of supporting them and

reducing their agitation, isolation, depression and delirium. This equipment is particularly useful in this hospital where there are single bedded rooms.

- **Bladder scanner for Monmouth District Nurses**

Bladder scanners are portable, handheld ultrasound devices which can perform quick, easy and non-invasive scans of the bladder and painless for the patient. It can be used to help identify bladder distension, causes of urinary frequency and bladder irritability and is useful assisting with accurate assessments of hydration status. The purchase of these additional bladder scanners has enabled the nursing staff to use them at the patient's bedside which is beneficial to both patients and staff.



is
in

- **Thera Trainer, Renal Unit**



The Thera Trainer is used as part of the treatment for patients to enhance their overall rehabilitation in a cohort of patients where achieving aerobic activity can be challenging.

- **ECG Systems x 2 for Cardiology Services at Grange University Hospital**

Additional machines to check the heart's rhythm and electrical activity

- **PH Manometry Equipment for General Surgery, Nevill Hall Hospital**

PH Manometry equipment tests the functionality of the oesophagus i.e., can it move food to your stomach normally.

- PH – is a test that measures the amount and severity of acidic stomach contents that may be leaking from the stomach into the oesophagus
- Mano – a test that measures the pressure activity within the oesophagus and the sphincters (ring like muscles which close a natural orifice or passage at either end of the oesophagus).

Whilst ABUHB provides the largest benign Upper GI surgery service in Wales, it is the only Health Board in Wales that does not offer an oesophageal manometry service. As a result, patients are referred to Cardiff. This equipment will allow us to provide this service in house. Overall, this new service will significantly improve care provided to patients with acid reflux disease (GORD - *Gastro-oesophageal reflux disease*).

This equipment was funded from an outside charity, the Nevill Hall Hospital Thrombosis and General Research Fund which has supported the hospital for many years.

You made it possible

...for us to provide "Thank you" tokens to our staff.



"Thank you" Hydration Bottles
hydration bottles, which are a 'thank you' gift for our staff for the outstanding contribution they made and continue to make during the Covid-19 pandemic.

You made it possible

...for the Person-Centred Care Team to Help Patients and Welsh Learners

The Health Board's Person-Centred Care Team, in collaboration with the Welsh Language Unit and local Welsh medium schools, have designed and created a brand-new bilingual card game, named *Casglu* (Welsh for *To Collect*).

The game was made possible by funding from the RCN Foundation and the Welsh Language Unit Charitable Fund and is suitable for all ages, with the aim being for players to collect as many sets of cards as possible. Children in Welsh Medium education in the Torfaen and Newport areas played a big part in designing the game's pictures and sentences.



The game was recently piloted with patients, Serenity and Caleb, on the Children's Unit at The Grange University Hospital, who enjoyed playing with their families.

In the first instance, *Casglu* will also be made available to:



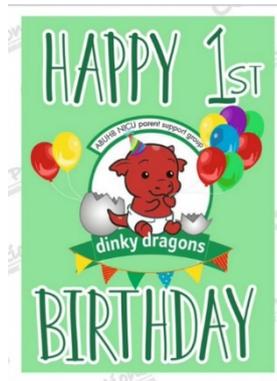
- The Children's Unit at The Grange University Hospital
- Staff who are learning / fluent in Welsh to aid with Welsh language communication skills.
- Health Board volunteers to help them support patients with meaningful activities
- Local children who helped design the game, as well as other schools in the Health Board area.

Once restrictions allow, it will also be part of the resources available for Intergenerational Activity in Care Homes and Community Wards. We look forward to seeing the game played across our communities and generations, bringing a little bit of joy, and enhancing the use of the Welsh language.

You made it possible

.... for us to say it with cards

Little things make a Big Difference



Staff at the Neonatal Unit, Grange University use your donations to purchase and send cards to those babies treated on the unit for their 1st Birthdays. While babies are in the unit, cards are sent from the babies to mums and dads, for Mother's Day and Father's Day.

While in hospital

Recently a patient was given a card and stamp so he could write to his wife whom he had not been able to see for some time. Sadly, and unexpectedly, the patient died...

When his wife came to collect his belongings, she was carrying the card and said it had become one of her most treasured possessions.

Thankfully, many patients recover and return home, but this small gesture made a significant difference to a loved relative.



Cards and stamps are available free of charge from the Chaplaincy department for any patient that might appreciate being able to write to a loved one.

After...



Staff at our Accident and Emergency Units write notes in sympathy cards to relatives of those loved ones that have been admitted to hospital suddenly and passed away. Staff find it helps give closure to those relatives who were unable to be there at the end.

Your donations have made this possible.

You made it possible

.... for us to provide support at the End of Life

End of Life Companion Case Study

The End-of-Life Companion volunteer service commenced at the very start of the pandemic and both grants and donations have been used to pay for a Clinical Skills Trainer and a Volunteer Co-ordinator for this service.

Companions were recruited and trained so they were ready to support any patient that was at the end of their life and at risk of dying alone. End of Life Companions have supported patients both as befrienders and provided support to patients who are in the last days of their lives.

Late last year, the Person-Centred Care Team had a request for the End-of-Life Companions to support a family in providing some additional company for their relative. The family members were exhausted. They had been sitting with their relative 24-hours a day; however, they did not want their relative to be alone.

The End-of-Life Clinical Skills Trainer met with the family to explain the Companion role and then arranged for three Companions to visit the following day so that the family could have some much-needed rest. The Clinical Skills Trainer also met with the ward staff so that they were aware of the support that had been put in place.

The Companions know they may be contacted at short notice and were very pleased that they could support both the patient and the relatives. It was during one of the Companion visits that the patient peacefully died and importantly, they were not alone, which was a comfort to both the patient and their relatives.

The Health Board is so grateful to our End-of-Life Companions who provide a very special and unique companionship in the final hours and moments of peoples' lives.

You made it possible

.... for us to continue supporting students to become doctors

Our Care Fund Project is used to identify individuals from less privileged backgrounds, with a potential to become qualified doctors by supporting them with a programme of mentoring and financial support. The project looks at innovative ways to encourage and train these individuals with the necessary skills and knowledge to obtain a place in medical school and support them through the training process to hopefully lead to them becoming active doctors within the Health Board locality.

The following comments have been received from recipients of our Care Project Bursary Fund:



"The generosity of the bursary I received has allowed me to experience the amazing opportunities I have had whilst completing a neuroscience degree. This is something that I did not think would be possible in my medical studies, as an extra year in university comes at a price that would not have been accessible to me without your support."

This year has allowed me to gain a greater appreciation for the research that underpins medicine and has allowed me to gain a qualification that will open many doors for myself in the future.

If I had taken this intercalated year without the support of the bursary I would have had to support myself with a job whilst studying. However, thanks to your generosity I have been able to put the time and effort into my studies required to gain a first-class degree, something I never thought would be possible.

I have further been very fortunate to have the time and opportunity to work with Public Health Wales and Cardiff University researchers to write and submit my first research paper for publication, as the first author. This opportunity has allowed me to turn what I have studied over the past year into something that will hopefully help others and add to the broader scientific community. To have the chance to work closely with incredible scientists and doctors that are advancing the knowledge of medicine has been a special experience.

Without your financial support, I do not believe this opportunity to better myself academically and as a future doctor would have been possible. I have to thank you dearly for the role you have played in this journey so far"



"The Laptop given to me was incredibly beneficial. It is something I use every day and thus am very grateful to have a good and reliable device, to use for lectures, notes, assignments, as well as for online teaching sessions."

Furthermore, the money awarded to me has made a significant difference to my academic studies and personal life– It meant I did not have to work during term time, and could therefore fully focus on my studies, and dedicate myself to the course and its workload. It also allowed me to use my free time to pursue my hobbies and spend time with friends."



"Having now had first hand hospital experience through medical school placement I have never been more certain that a career of patient care in medicine is something that I am excited to be fully committed to pursuing. It is so

rewarding to interact with people every day and to be part of the team which aims to improve their lives.

Receiving the bursary meant that I didn't have to worry financially during the academic year which was a massive relief. I also continued using the laptop which was awarded the year prior, and this continues to be the most useful thing I have for my degree. A laptop like this would have absolutely been unattainable without your support."



"This bursary allowed me to focus solely on my studies without the stress of financial burden, ensuring I successfully passed all exams.

In addition to this, I was able to purchase resources such as textbooks and medical equipment as needed to aid revision.

Finally, I have been able to pursue areas of interest such as widening participation schemes."

You made it possible ...

...for us to enable the Patient Reported Experience Measures to be adopted

Following on from last year's trial of Patient Reported Experience Measures:

- We have implemented digital collection of experience measures
- We have a patient reference group to link with the wider Health Board person centred group.
- We have a Dashboard prototype created specifically for this information
- Data collected is accessible and or provided as evaluation to service teams and or quality and patient safety committee
- 12 Service areas have collected experience measures
- 7 hospital sites have instances of experience collection
- Total volume of experience measures collected: 4938

Because of you

.... we were able to trial Patient Reported Experience Measures

We provided an analyst for one year to co-ordinate a pilot programme, where our Person-Centred Care and Partnership team tested the national Patient Reported Experience Measures (PREMs) across all hospital wards.



The Patients' perceptions of their health and experiences are key to providing excellent patient-centered care and allows clinicians to consider what needs to change and advance the patient experience and quality agenda.



Each test site allowed us to have face to face discussions with patients and families, reflect on those discussions and amend the questions we were asking before agreeing the most appropriate reportable outcomes and digital platform.

This post significantly supported the testing and roll out of PREMs, assisting pilot site areas, preparing an analysis of results and contributing to a digital platform model going forward. The feedback is used to improve the experience of patients, relatives and staff which is critical to excellence in health care.

We are hopeful that by the end of the project we will have robust questions to allow importing onto a digital platform that will allow for 'Ward to Board' real time reporting.

The Value Based Healthcare Team worked in partnership with the Director of Nursing Team to implement and embed the experience measure collection. The service areas with access to the dashboard can review and evaluate the results with the aim of making service improvements to benefit the patient experience.

You made it possible

...by fundraising for us

Fundraising with a Zip for Staff Wellbeing

Around 30 staff from Complex Care and COVID-19 Testing completed a Zip Wire challenge to raise funds for Health Board's Employee Wellbeing Service.

The staff decided to take up the hair-raising challenge following the difficulties experienced during the pandemic, which has impacted the wellbeing of our staff.

If you would like to donate to their fundraising efforts, please visit their Just Giving page:

<https://www.justgiving.com/fundraising/ABUHB-Wellbeing>



Turn ABUHB Pink

Could you be a Pink Champion and organise your teams #Turnpink event?

Every October, people all over the world show their support for people affected by breast cancer. After a very difficult year, Health Board staff are being urged to take part in the biggest #TurnABUHBpink has ever seen to raise money for the new Breast Care Centre at YYF.

We would like to expand on the previous year's success and get even more departments involved in helping us. We are aiming to get as many staff and community groups as possible to come together to wear pink and donate.

We will make sure you have everything you need to make your day fab and full of fun. Get in touch to see how you can "Turn Pink" leading up to a main event every October, which will take place across all our Health Board sites.

Local women gathered to create a 'tastefully topless' calendar to raise funds for The New Breast Care Unit. These courageous women have had breast cancer or experience of the breast service and feel passionate about having an improved service for all.

You made it possible

Fundraising and the new Breast Care Unit

Unified Breast Unit at Ysbyty Ystrad Fawr – The construction of new purpose built Breast Unit will bring together diagnostic, treatment and surgical services that are currently spread across three hospitals. It was anticipated that work would start on the site of this new unit in October 2022. Work actually commenced on the 17th October 2022, with completion expected in December 2023.

In the Spotlight



Name: Louise Davidson

JOB TITLE: **LEAD BREAST NURSE SPECIALIST**

"I am proud to be part of a passionate, caring, multidisciplinary team whose priority is to provide the best quality, individual service to those diagnosed with breast cancer. I'm excited for the future of breast services in the Health Board and centralisation in 2022 at YYF and a new purpose-built breast unit, a centre of excellence".

The Unit will support more than 5,000 women, and a number of men each year. The fundraising aims to support the patients and families to have a comfortable waiting room, counselling rooms with a sensitive design and décor to create a calm, light and dignified environment, where patients and their families can come to terms with their diagnosis and treatment.

"It's been a difficult few years with Covid restrictions having a direct effect on how we can continue to raise funds to support our NHS. The number of people continually getting involved in all departments raising money in innovative, tireless and sometimes virtual ways for our new breast unit makes you realise just how amazing people are."



You made it possible

.... for us to reflect our experiences creatively

Calling all Sewers and Scrubbers!

Did you pick up a needle and thread to lend a hand in 2020? Are you still sewing and donating now? Would you be interested in taking part in a free staff & community textile project that will reflect on the amazing efforts people made to provide PPE masks, scrubs, scrubs bags and everything else in 2020?



We (Gwent Arts in Health & ABUHB) have raised funding through NHS Charities and NESTA for a creative project that aims to reflect our experiences at that difficult time through a series of community textile murals that will be hung in our hospitals.

At this stage, we would like anyone who would like to contribute and take part – sharing stories, stitching a piece or two, sharing with others – to get in touch so we can start planning the project together. The project was due to commence in September 2022 but has been delayed due to staffing issues and is hoping to commence in 2023.

You made it ALL possible



.... Thank You



Other events

Official Opening of the Grange University Hospital



First Minister Rt Hon Mark Drakeford led the official opening of The Grange University Hospital on Friday 27th August 2021. The Grange University Hospital is one of the key components of our Clinical Futures Strategy, which aims to revolutionise healthcare services in the Health Board area by providing care as close to patients' homes as possible.

The First Minister said: "The Grange University Hospital has already proved its value to the local community. My thanks go to everyone who worked hard to open the hospital earlier than planned. I'd also like to pay tribute to those NHS staff who work here for all their hard work during these difficult times."

The Charitable Funds Team

Alison Griffiths – Charitable Funds Manager



Linda Proudman – Charitable Funds Officer

Wendy Keyte – Charitable Funds Officer



Sue Turley – Charitable Funds Officer

With visits to hospitals restricted and internal conferences cancelled, the Charitable Funds Team will continue to promote charitable funds across the Health Board albeit in a new way of working with visits replaced by Teams calls.

Charity Governance, Audit and Finance

1. Reference and Administrative Details

Charity Name: Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities

Charity Working Name: Aneurin Bevan Health Charity

Registered Charity No: 1098728

Address of Charity: Headquarters
St Cadoc's Hospital
Lodge Road
Caerleon
Newport
NP18 3XQ

Administration of the Charitable Funds:

The accounting records and the day-to-day administration of the funds are undertaken by:

Charitable Funds Section
Corporate Finance Department
Aneurin Bevan University Local Health Board
Block C, Mamhilad House
Mamhilad Park Estate
Pontypool, Torfaen
South Wales NP4 0YP

Tel No: 01495 765431

E-mail: Charitable.funds.ABB@wales.nhs.uk

Professional Advisors:

Bankers: National Westminster Bank PLC
Government Banking Parklands
De Havilland Way
Horwich
Boulton
BL6 4YU

Santander
Customer Services Centre
Bootle
Merseyside
L30 4GB

Investment Managers: CCLA Investment Management Limited
1 Angel Lane
London
EC4R 3AB

External Auditors: The Auditor General for Wales
24 Cathedral Road
Cardiff
CF11 9LJ

Internal Auditors: NHS Wales Shared Services Partnership
Audit & Assurance Services
4-5 Charnwood Court
Heol Billingsley
Parc Nantgarw
Cardiff
CF15 7QZ

VAT Advisor: Ernst & Young LLP
The Paragon
Counterslip
Bristol
BS1 6BX

**Legal advice for
TP Price Estate:** Jacklyn Dawson Solicitors
Equity Chambers
John Frost Square
Newport
South Wales
NP20 1PW

Douglas-Jones Mercer Solicitors
Axis Court
16 Mallard Way
Swansea Vale
Llansamlet
Swansea
SA7 0AJ

**Estate Management
TP Price Estate:** NHS Wales Shared Services Partnership **for**
Specialist Estate Services
3rd Floor, Companies House
Crown Way
Cardiff

Savills
2 Kingsway
Cardiff
CF10 3FD

**Valuations for
13 Clytha Square:**

The District Valuer
District Valuer Services
Ty Rhodfa
Ty Glas Road
Llanishen
Cardiff
CF14 5GR

Valuations for Painting:

Bonhams
7-8 Park Place
Cardiff
CF10 3DP

2. Structure, Governance and Management of Charitable Funds

Objects of the Charity

The objects of the charity are as follows:

The trustees shall hold the trust funds upon trust to apply income and at their discretion, so far as permissible, capital, for any charitable purpose or purposes relating to the National Health Service.

The corporate trustee is Aneurin Bevan University Local Health Board. The executive directors and independent members of the Board share the responsibility for ensuring that the health board fulfils its duties as a corporate trustee in managing charitable funds.

The chair and independent members of the Board are appointed by the Welsh Government and the executive directors are appointed by the Health Board.

Trustee Arrangements

Aneurin Bevan University Local Health Board is the corporate trustee of the Charity. The directors who served the Aneurin Bevan University Local Health Board during the year to 31st March 2022 were as follows:

Ann Lloyd CBE	Chair
Emrys Elias	Vice Chair (Until 30 th September 2021)
Pippa Britton	Independent Member (Community) (Until 17 th October 2021)
Pippa Britton	Interim Vice Chair (From 18 th October 2021)
Philip Robson	Special Advisor to the Board

Chris Koehli	Special Advisor to the Board (Until 17 th July 2021)
Judith Paget *	Chief Executive (Until 31 st October 2021)
Glyn Jones *	Director of Finance & Performance / Deputy Chief Executive (Until 31 st October 2021) Interim Chief Executive (From 1 st November 2021)
Robert Holcombe*	Interim Director of Finance, Procurement & Value (From 1 st November 2021)
Dr James Calvert	Medical Director
Rhiannon Jones	Director of Nursing
Geraint Evans	Director of Workforce and Organisational Development (Until 31 st August 2021)
Sarah Simmonds	Director of Workforce and Organisational Development (From 22 nd July 2021)
Peter Carr	Director of Therapies and Health Science
Dr Sarah Aitken	Director of Public Health & Strategic Partnerships
Dr Sarah Aitken	Interim Director of Primary, Community and Mental Health Services (From 6 th December 2021 to 28 th February 2022) in addition to substantive role of Director of Public Health & Strategic Partnerships
Nicola Prygodzicz	Director of Planning, Digital & IT (Until 31 st October 2021)
Nicola Prygodzicz	Director of Planning, Digital & IT/Deputy Chief Executive (From 1 st November 2021)
Nick Wood	Director of Primary, Community and Mental Health (Until 5 th December 2021)
Dr Chris O'Connor	Interim Director of Primary, Community and Mental Health (From 28 th February 2022)
Katija Dew *	Independent Member (Third Sector)
Prof. Helen Sweetland	Independent Member (University)
Richard G Clark	Independent Member (Local Authority)
Paul Deneen	Independent Member (Community)
Shelley Bosson	Independent Member (Community)
Louise Wright *	Independent Member (Trade Union)
Keith Sutcliffe *	Associate Independent Member (Chair, Stakeholder Reference Group)

* Members of the Charitable Funds Committee.

Charitable Funds Committee

The Board of Aneurin Bevan University Local Health Board, as the corporate trustee, delegates its governance work to the Charitable Funds

Committee which is a subcommittee of the Board. The Committee is required to:

- Control, manage and monitor the use of the fund's resources for the public benefit having regard for the guidance issued by the Charity Commission
- Provide support, guidance and encouragement for all its activities whilst managing and monitoring the receipt of all income
- Ensure that 'best practice' is followed in the conduct of all its affairs fulfilling all its legal responsibilities
- Ensure that the Investment Policy approved by the Health Board is adhered to and that performance is continually reviewed whilst being aware of ethical considerations
- Keep the Health Board fully informed on the activity, performance and risks of the charity

Membership of the Charitable Funds Committee is as follows:

Katija Dew	Independent Member (Chair)
Louise Wright	Independent Member
Keith Sutcliffe	Associate Independent Member
Judith Paget	Chief Executive (Until 31 st October 2021)
Glyn Jones	Director of Finance & Performance / Deputy Chief Executive (Until 31 st October 2021)
	Interim Chief Executive (From 1 st November 2021)
Robert Holcombe	Interim Director of Finance, Procurement & Value (From 1 st November 2021)

The following also attended the committee with other staff as appropriate for specific agenda items:

Mark Ross	Assistant Director of Finance, Financial Systems & Services (Until 31 st August 2021)
Gwen Kohler	Assistant Director of Finance, Financial Systems & Services (From 1 st September 2021)
Estelle Evans	Head of Financial Services and Accounting
Richard Howells	Board Secretary (Until 30 th November 2021)
Rani Mallison	Board Secretary (From 28 th November 2021 Until 13 th March 2022)
Rani Mallison	Director of Corporate Governance (From 14 th March 2022)
Bryony Codd	Head of Corporate Governance

Independent Members are appointed to hold office for a period of up to four years in any one term. During this time, a member may resign or be removed by the Board. The Chair of the Health Board keeps under review the membership of Board Committees to ensure changes are made regularly to refresh the membership of each committee and respond to circumstances when new members join the Board.

Trustee Induction and Training

As part of their induction programme, new Executive and Independent Members of Aneurin Bevan University Local Health Board are made aware of their responsibilities as Board members and as a Corporate Trustee of Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities.

At each Charitable Funds Committee meeting, members are advised of any changes in legislation or other requirements relating to charities.

Public Benefit

The objects of the charity are such that all expenditure from the charity is for the benefit of the National Health Service and as such is therefore for 'public benefit'. The Charitable Funds Committee is aware of its duties in relation to public benefit and ensures that all expenditure fulfils public benefit criteria. This is demonstrated further in this report in the Expended Resources Section of Item 7.

Management of Funds

The **Director of Finance** is responsible for the day-to-day management and control of the administration of charitable funds and reports to the Charitable Funds Committee. The Director of Finance has responsibility for ensuring that:

- The spending is in accordance with the objects and priorities agreed by the Charitable Funds Committee
- The criteria for spending charitable monies are fully met
- All accounting records are maintained
- Devolved decision making or delegated procedures are in accordance with the policies and procedures set out by the Board on behalf of the corporate trustee

Within the charity there are 424 internal funds which are delegated to authorised fund holders to approve expenditure within predefined limits. All expenditure requests exceeding £25,000 are subject to Committee approval. A further 6 funds are controlled by the Charitable Funds Committee. The Health Board has a formal procedure that sets out guidance to delegated fund managers to aid them in the process of approving appropriate expenditure from funds.

3. Strategic Objectives & Activities

Charitable Funds received by the charity are accepted, held and administered as funds held on trust in accordance with the National Health Service (Wales) Act 2006.

The principal areas in which the funds are applied for the benefit of the public are:

- The purchase of medical equipment for use by Aneurin Bevan University Local Health Board
- The provision of patient welfare activities and amenities
- The education and welfare of staff

The corporate trustee fulfils its legal duty by ensuring that funds are spent in accordance with the objectives of each fund. The trustee respects the wishes of our generous donors to benefit patient care and advance good health and welfare of patients and staff.

The charity is constituted of 365 unrestricted and designated funds and 65 restricted funds as at 31st March 2022. Material fund details are shown in Note 22 to the accounts. The current structure of the individual funds reflects the fact that most of the income and expenditure is focused where patients receive services. Fund managers exercise control over the funds donated to their management area.

4. Risk Management

The main risks associated with the charity relate to:

- Financial controls risk
- Investment risk

Financial Control Risk

A financial control procedure has been developed for Charitable Funds and agreed with the Charitable Funds Committee to ensure that there are sufficient management controls in place to ensure regulatory compliance and minimise risk of fraud and error. Specifically, to:

- Ensure the spending is in accordance with the objects of the charity and the priorities agreed by the Charitable Funds Committee
- Ensure the criteria for spending charitable funds are fully met
- Ensure all accounting records are maintained
- Ensure devolved decision making is within specified parameters

All other Financial Control Procedures covering core financial systems within Aneurin Bevan University Local Health Board are also applied to the financial administration of the charity.

An internal audit is undertaken periodically, based on the assessment of risk, to evaluate the adequacy of procedures and controls in place and to test compliance against those procedures. Audit Reports are presented to both the Charitable Funds and Audit Committees of the Health Board and this is a key measure in mitigating control risk. The latest Internal Audit report carried out in November 2021 provided substantial assurance on controls covering charitable funds. This definition of assurance generally means there is substantial assurance that arrangements to secure governance, risk management and internal control are suitably designed and applied effectively and that few matters require attention and are compliance or advisory in nature.

Financial reports showing the income statement and balance sheet together with analysis of significant financial changes are reported to each Charitable Funds Committee meeting. In addition, the Charitable Funds Committee reviews the draft annual accounts while the Board approves the final annual accounts of the charity.

The external audit of the accounts is undertaken by the Auditor General for Wales. The accounts have this year and historically received an unqualified audit opinion.

Investment Risk

This risk is mitigated by investing in a portfolio of investments through the professional advice of investment managers. Our investment managers, CCLA, usually attend the Charitable Funds Committee annually to present and discuss investment performance and strategy but due to Covid this was not possible this year, but we remain in regular contact with them.

5. Reserves Policy

The Charitable Funds Committee reviewed the Charity's reserve policy, observing both Charity Commission guidance on reserves and the current level of funds held.

If reserves are too high, the charity is retaining funds without justification and this could constitute a breach of trust. If reserves are too low, the fund's ability to meet future commitments or needs may be at risk.

The Charity operates on the basis that it only spends what it has received and does not rely on future donations to meet its commitments.

Therefore, the reserves should be set at a level sufficient to cover the liabilities that face the charity, namely the cost of administering the funds and any unrealised losses and to hold some money in reserve to act as a buffer against stock market fluctuations.

The charity currently holds £266,000 in reserve (2020/2021 £246,000).

The corporate trustee recognises the need to regularly review the level of reserves and the charity's activities and operations so that future reserves can be maintained at a level which will mitigate the risk of significant fluctuations in the levels of donations and investments, and provide financial stability for the charity, to ensure that its aim of being able to apply charitable funds within a reasonable time is achieved.

6. Grant Making Policy

The use of our funds is restricted by the governing document which established the charity for purposes connected with the NHS. Grants consist of:

General Funds

This consists of donations received by the charity where no preference as to its expenditure has been expressed by donors. The Charitable Funds Committee decides on how these funds should be spent by receiving requests from across the Health Board. The value of general funds held is £399,000. There are also 3 property valuations totalling £343,000.

Designated Unrestricted Funds

These consist of donations where a particular part of the hospital or activity was nominated by the donor at the time their donation was made. Whilst their nomination is not binding on the trustee, the designated funds reflect these nominations. The value of the designated unrestricted funds is £4.060 million.

Restricted Funds

These consist of legacies and grants where a legal document and signed agreement restricts the use to the terms of the bequest/agreement. The value of restricted funds held is £1.242 million.

During the year the charity made grants of £788,000 representing 85% of the total charitable expenditure. In making grants the trustee requires

that the activity falls within the objectives of the charity and relate to the specific purpose of the individual funds from which it is being met.

7. Review of our Finances, Achievements and Performance

The financial statements for 2021-22 are presented at the end of this report.

The net assets of the Charity as at 31st March 2022 were £6.044 million (2021 £5.416 million). Overall net assets increased by £628,000.

Incoming Resources

Incoming resources when comparing against the 2020/21 position were £190,000 lower than the previous year. Donations decreased by £286,000, legacies increased by £128,000, investment income increased by £6,000, grant income decreased by £77,000 and income from charitable activities increased by £39,000.

The charity received seven legacies during the year, total value £170,000 (2020-2021 eight legacies, value £42,000).

The charity did not receive any new grants in 2021-2022 but has carried forward grants totalling £99,000 to cover related spend. (2020-2021 eight grant payments, value £176,000).

Expended Resources

Charity expenditure for the year totalled £930,000, a decrease of £311,000 from the previous year. Expenditure for the year was across several categories as follows:

I. Medical Research

Total expenditure on medical research was £3,000.

II. Purchase of Equipment

Total expenditure on equipment was £339,000. This was on a range of varied equipment across the Health Board.

III. Building and Refurbishment

Total expenditure on building and refurbishment was £31,000.

IV. Patients Education and Welfare

Total expenditure on patients' welfare and amenities was £191,000. This consists of various therapies, seasonal activities, support groups and other items of expenditure to benefit patients.

V. Staff Education and Welfare

Total expenditure on staff education and welfare was £224,000. The main items consist of seminars, training course fees, textbooks, professional journals and related travelling, subsistence and accommodation expenditure.

VI. Fundraising Costs

Total expenditure on fundraising costs was £3,000. This mainly relates to events for the new Breast Care Unit at Ysbyty Ystrad Fawr which is due to be opened in December 2023.

VII. Support Costs

Total expenditure on support costs was £139,000. This includes the audit fee of £16,000 and costs of the charitable funds office and corporate support.

Investment Properties

Investment properties owned by the charity are the T P Price Estate £68,000 and 13, Clytha Square, Newport £250,000.

The T P Price Estate comprises a leasehold property and a small parcel of land.

13 Clytha Square, Newport is a large Victorian building in the centre of Newport which is rented to Aneurin Bevan University Local Health Board to use as offices.

Income from the investment properties was £16,000 (2020-2021 - £15,000).

Investments on the Stock Exchange

The charity's investments are managed by CCLA Investment Management Limited, with the aim of managing the funds to achieve a balance of growth and income.

The charity's investment policy does not allow managers to invest in those companies whose main business is related to the production or

sale of tobacco or alcohol, or those companies involved in the arms trade.

Details of the investments (including cash held as part of the investment portfolio) and investment performance for 2021-2022 are shown in the table below:

Investment Performance 2021-22	
	CCLA
	£000
Balance 1 April 2021	5,004
Acquisitions	0
Disposals	0
Movement of Cash	0
Realised Gains/(Loss)	0
Unrealised Gains/(Loss)	480
Balance 31 March 2022	5,484
Income	171
Gains/(Loss) %	9.15%
Returns %	3.26%

Other Investments

“The Domestic Chaplain”, a painting donated to the charity many years ago is valued at £25,000 and is currently on loan to the National Museum of Wales, Cardiff.



8. Key Achievements

Funding

The charity supported many bids from across the organisation from its general funds and via the 430 delegated charitable fund accounts. This support significantly enhanced services for the patients and staff in the Aneurin Bevan University Local Health Board.

Charitable Funds Strategy

Progress on streamlining funds has continued throughout the year.

Fundraising

Our fundraising campaign to supplement a new Breast Care Centre due to be opened in December 2023 in Ysbyty Ystrad Fawr continues.

Investment Management

CCLA continue to provide investment management services to the charity and we continue to invest in their ethical investment fund.

Governance Arrangements

Historically the accounts and trustees annual report have been produced on time with unqualified audit opinions and filed on time with the Charities Commission.

Risks

The Committee has used a considerable amount of its general funds which led to fewer bids being approved during the year, placing more pressure on the Health Board's internal capital programme.

9. Plans and Objectives for the Future

Income continues to be received by the charity due to the generosity of the public in recognition of the care and treatment received from the Health Board. The charity will continue to use its funds to improve patient experience.

Other objectives for the forthcoming year are to:

- Consider the effectiveness of support to staff in working with partners to obtain grants from companies, external organisations and charities for identified equipment and projects.
- Explore the objectives of the charity to ensure they remain relevant and appropriate for the Health Board.
- Ensure that all accounting or charity regulatory requirements are fully complied with.
- Continue to review funds for the redesign of service, in line with Clinical Futures Strategy.
- Retender for Investment Management services.

10. Events since the Year End

- Our investment company believes that the equity market will remain challenging for the next few months as a result of the war in Ukraine and a slowdown in the global economy. There are indications that economic growth will stabilise later in the year rather than deteriorate further, however this remains uncertain and depends principally on whether the global economy avoids recession.

With the downturn in global economic markets, CCLA has adopted a defensive position, holding higher than normal cash balances and remaining cautious about future investments.

- As part of the fundraising led by Captain Sir Tom Moore, NHS Charities Together (NHSCT) have allocated money for supporting Covid-19 projects across the NHS in the UK to be accessed through individual bodies' charitable funds; we have successfully obtained a grant of £308,000.

Approved on behalf of the Corporate Trustee

Ann Lloyd CBE
Chair
Aneurin Bevan University Local Health Board

Date:



Audit of Accounts Report – Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities

Audit year: 2021-22

Date issued: January 2023

Document reference: 3308A2023

This document has been prepared as part of work performed in accordance with statutory functions.

In the event of receiving a request for information to which this document may be relevant, attention is drawn to the Code of Practice issued under section 45 of the Freedom of Information Act 2000. The section 45 code sets out the practice in the handling of requests that is expected of public authorities, including consultation with relevant third parties. In relation to this document, the Auditor General for Wales and the Wales Audit Office are relevant third parties. Any enquiries regarding disclosure or re-use of this document should be sent to the Wales Audit Office at infoofficer@audit.wales.

We welcome correspondence and telephone calls in Welsh and English. Corresponding in Welsh will not lead to delay. Rydym yn croesawu gohebiaeth a galwadau ffôn yn Gymraeg a Saesneg. Ni fydd gohebu yn Gymraeg yn arwain at oedi.

Contents

We intend to issue an unqualified audit report on your Accounts and there are some issues to report to you prior to their approval.

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Audit of Accounts Report

Introduction

- 1 We summarise the main findings from our audit of your 2021-22 Annual Report and accounts in this report.
- 2 We have already discussed these issues with Assistant Director of Finance (Financial Systems & Services), the Head of Financial Services and Accounting and their team.
- 3 Auditors can never give complete assurance that accounts are correctly stated. Instead, we work to a level of 'materiality'. This level of materiality is set to try to identify and correct misstatements that might otherwise cause a user of the accounts into being misled.
- 4 We set this level at £18,600 for this year's audit.
- 5 There are some areas of the accounts that may be of more importance to the reader, and we have set a lower materiality level for these, as follows:
 - Related Party Transactions £1,000
- 6 We have now substantially completed this year's audit.
- 7 In our professional view, we have complied with the ethical standards that apply to our work; remain independent of yourselves; and our objectivity has not been compromised in any way. There are no relationships between ourselves and yourselves that we believe could undermine our objectivity and independence.

Proposed audit opinion

- 8 We intend to issue an unqualified audit opinion on this year's accounts once you have provided us with a Letter of Representation based on that set out in **Appendix 1**.
- 9 We issue a 'qualified' audit opinion where we have material concerns about some aspects of your accounts; otherwise, we issue an unqualified opinion.
- 10 The Letter of Representation contains certain confirmations we are required to obtain from you under auditing standards along with confirmation of other specific information you have provided to us during our audit.
- 11 Our proposed audit report is set out in **Appendix 2**.

Significant issues arising from the audit

Uncorrected misstatements

12 There are no misstatements identified in the accounts, which remain uncorrected.

Corrected misstatements

13 There were initially misstatements in the accounts that have now been corrected by management. These are set out with explanations in **Appendix 3**.

Other significant issues arising from the audit

14 In the course of the audit, we consider a number of matters relating to the accounts and report any significant issues arising to you. There were **no** issues arising in these areas this year.

Recommendations

15 There were no recommendations arising from our audit, however we have followed up audit recommendations made in prior year's audits as set out in **Appendix 4**. Where any actions are outstanding, we will continue to monitor progress and report it to you in next year's report.

Appendix 1

Final Letter of Representation

[Audited body's letterhead]

Auditor General for Wales
Wales Audit Office
24 Cathedral Road
Cardiff
CF11 9LJ

25 January 2023

Representations regarding the 2021-22 financial statements

This letter is provided in connection with your audit of the financial statements of Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities for the year ended 31 March 2022 for the purpose of expressing an opinion on their truth and fairness and their proper preparation.

We confirm that to the best of our knowledge and belief, having made enquiries as we consider sufficient, we can make the following representations to you.

Management representations

Responsibilities

We have fulfilled our responsibilities for:

- the preparation of the financial statements in accordance with legislative requirements and the Charities Act 2011; in particular, the financial statements give a true and fair view in accordance therewith;
- the design, implementation, maintenance and review of internal control to prevent and detect fraud and error.

Information provided

We have provided you with:

- full access to:
 - all information of which we are aware that is relevant to the preparation of the financial statements such as books of account and supporting documentation, minutes of meetings and other matters;

- additional information that you have requested from us for the purpose of the audit; and
- unrestricted access to staff from whom you determined it necessary to obtain audit evidence;
- the results of our assessment of the risk that the financial statements may be materially misstated as a result of fraud;
- our knowledge of fraud or suspected fraud that we are aware of and that affects Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities and involves:
 - management;
 - employees who have significant roles in internal control; or
 - others where the fraud could have a material effect on the financial statements;
- our knowledge of any allegations of fraud, or suspected fraud, affecting the financial statements communicated by employees, former employees, regulators, or others;
- our knowledge of all known instances of non-compliance or suspected non-compliance with laws and regulations whose effects should be considered when preparing the financial statements;
- the identity of all related parties and all the related party relationships and transactions of which we are aware.

Financial statement representations

All transactions, assets and liabilities have been recorded in the accounting records and are reflected in the financial statements.

The methods, the data and the significant assumptions used in making accounting estimates, and their related disclosures are appropriate to achieve recognition, measurement or disclosure that is reasonable in the context of the applicable financial reporting framework.

Related party relationships and transactions have been appropriately accounted for and disclosed.

All events occurring subsequent to the reporting date which require adjustment or disclosure have been adjusted for or disclosed.

All known actual or possible litigation and claims whose effects should be considered when preparing the financial statements have been disclosed to the auditor and accounted for and disclosed in accordance with the applicable financial reporting framework.

The financial statements are free of material misstatements, including omissions. The effects of uncorrected misstatements identified during the audit are immaterial, both

individually and in the aggregate, to the financial statements taken as a whole. A summary of these items is set out below:

Representations by the Trustee of Aneurin Bevan University Health Board Charitable Fund and Other Related Charities

We acknowledge that the representations made by management, above, have been discussed with us.

We acknowledge our responsibility for the preparation of true and fair financial statements in accordance with the applicable financial reporting framework. The financial statements were approved by Aneurin Bevan University Health Board on 28 January 2023.

We confirm that we have taken all the steps that we ought to have taken in order to make ourselves aware of any relevant audit information and to establish that it has been communicated to you. We confirm that, as far as we are aware, there is no relevant audit information of which you are unaware.

Signed by:
Nicola Prygodzicz
Chief Executive and Accountable Officer
Date: 25 January 2023

Signed by:
Ann Lloyd
Board Chair
Date: 25 January 2023

Appendix 2

Proposed Audit Report

The independent auditor's report of the Auditor General for Wales to the trustee of Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities

Opinion on financial statements

I have audited the financial statements of Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities for the year ended 31 March 2022 under the Charities Act 2011. These comprise the Statement of Financial Activities, Balance Sheet, Statement of Cash Flows and related notes, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102 The Financial Reporting Standard applicable in the UK and Republic of Ireland (United Kingdom Generally Accepted Accounting Practice).

In my opinion the financial statements:

- give a true and fair view of the state of affairs of the charity as at 31 March 2022 and of its incoming resources and application of resources for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the Charities Act 2011.

Basis of opinion

I conducted my audit in accordance with applicable law and International Standards on Auditing in the UK (ISAs (UK)) and Practice Note 10 'Audit of Financial Statements of Public Sector Entities in the United Kingdom'. My responsibilities under those standards are further described in the auditor's responsibilities for the audit of the financial statements section of my report. I am independent of the charity in accordance with the ethical requirements that are relevant to my audit of the financial statements in the UK including the Financial Reporting Council's Ethical Standard, and I have fulfilled my other ethical responsibilities in accordance with these requirements. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

Conclusions relating to going concern

In auditing the financial statements, I have concluded that the use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

Based on the work I have performed, I have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt

on the body's ability to continue to adopt the going concern basis of accounting for a period of at least twelve months from when the financial statements are authorised for issue.

My responsibilities and the responsibilities of the trustee with respect to going concern are described in the relevant sections of this report.

Other Information

The other information comprises the information included in the annual report other than the financial statements and my auditor's report thereon. The trustee is responsible for the other information contained within the annual report. My opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in my report, I do not express any form of assurance conclusion thereon. My responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or knowledge obtained in the course of the audit, or otherwise appears to be materially misstated. If I identify such material inconsistencies or apparent material misstatements, I am required to determine whether this gives rise to a material misstatement in the financial statements themselves. If, based on the work I have performed, I conclude that there is a material misstatement of this other information, I am required to report that fact.

I have nothing to report in this regard.

Report on other requirements

Matters on which I report by exception

I have nothing to report in respect of the following matters in relation to which the Charities (Accounts and Reports) Regulations 2008 require me to report to you if, in my opinion:

- the information given in the financial statements is inconsistent in any material respect with the trustee's report;
- sufficient accounting records have not been kept, or returns adequate for my audit have not been received from branches not visited by my team;
- the financial statements are not in agreement with the accounting records and returns; or
- I have not received all of the information and explanations I require for my audit.

Responsibilities

Responsibilities of the trustee for the financial statements

As explained more fully in the statement of trustee's responsibilities, the trustee is responsible for preparing the financial statements in accordance with the Charities Act

2011, for being satisfied that they give a true and fair view, and for such internal control as the trustee determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustee is responsible for assessing the charity's ability to continue as a going concern, disclosing as applicable, matters related to going concern and using the going concern basis of accounting unless deemed inappropriate.

Auditor's responsibilities for the audit of the financial statements

I have been appointed as auditor under section 150 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act.

My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

Irregularities, including fraud, are instances of non-compliance with laws and regulations. I design procedures in line with my responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud.

My procedures included the following:

- Enquiring of management, including obtaining and reviewing supporting documentation relating to Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities' policies and procedures concerned with:
- identifying, evaluating and complying with laws and regulations and whether they were aware of any instances of non-compliance;
- detecting and responding to the risks of fraud and whether they have knowledge of any actual, suspected or alleged fraud; and
- the internal controls established to mitigate risks related to fraud or non-compliance with laws and regulations.
- Considering as an audit team how and where fraud might occur in the financial statements and any potential indicators of fraud. As part of this discussion, I identified potential for fraud in the following areas: revenue recognition, posting of unusual journals and (add as appropriate to the audit);
- Obtaining an understanding of Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities' framework of authority as well as other legal and regulatory frameworks that the Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities operates in, focusing on those laws and regulations that had a direct effect on the financial statements or that had a fundamental effect on the operations of Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities .

In addition to the above, my procedures to respond to identified risks included the following:

- reviewing the financial statement disclosures and testing to supporting documentation to assess compliance with relevant laws and regulations discussed above;
- reading minutes of meetings of those charged with governance and the trustee; and
- in addressing the risk of fraud through management override of controls, testing the appropriateness of journal entries and other adjustments; assessing whether the judgements made in making accounting estimates are indicative of a potential bias; and evaluating the business rationale of any significant transactions that are unusual or outside the normal course of business.

I also communicated relevant identified laws and regulations and potential fraud risks to all audit team and remained alert to any indications of fraud or non-compliance with laws and regulations throughout the audit.

The extent to which my procedures are capable of detecting irregularities, including fraud, is affected by the inherent difficulty in detecting irregularities, the effectiveness of the Aneurin Bevan University Local Health Board Charitable Fund and Other Related Charities' controls, and the nature, timing and extent of the audit procedures performed.

A further description of the auditor's responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website www.frc.org.uk/auditorsresponsibilities. This description forms part of my auditor's report.

Adrian Crompton
Auditor General for Wales
27 January 2023

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Appendix 3

Summary of Corrections Made

During our audit, we identified the following misstatements that have been corrected by management, but which we consider should be drawn to your attention due to their relevance to your responsibilities over the financial reporting process.

Exhibit 3: summary of corrections made

Value of correction	Nature of correction	Reason for correction
£97,000	Amending the market value of an investment property from £153,000 to £250,000 in line with the District Valuers' valuation on 1 April 2022. This amendment impacted a number of disclosures, including the Fixed Asset Investments note, the Reconciliation of net income/expenditure to net cash flow from operating activities note, and the Analysis of Funds note.	To ensure an investment property is accurately valued at its current market value.
N/A	Inclusion of an analysis of income deferred and released in year	To ensure compliance with the requirements of the Charities SORP.
Various	Narrative and disclosures amendments, including: <ul style="list-style-type: none">• Correcting the number of restricted funds referred to in Note 22 (continued) to agree with Note 22a.• Various Annual Report amendments.	To agree back to supporting evidence and ensure consistency throughout the Annual Report and Financial Statements.

Appendix 4

Follow up of previous year's audit recommendations

We have followed up progress made against our prior year's audit recommendations. Where these are ongoing, we will continue to follow up progress and include any outstanding issues in next year's audit report:

Exhibit 4: matter arising 1 (2020-21)

Matter arising 1 (2020-21) – Financial Controls Procedures need to be updated	
Findings	As identified in our audit, the Financial Control Procedures (FCPs) state that 'The managed investment portfolio should equate to no more than 75% of the total funds, with the remaining sum being more readily available.' The managed investment portfolio as per the financial statements is £5,004k, which equates to 92% of the £5,443k total funds. As per our discussions with the Charitable Funds team, this is due to the FCPs not being updated to reflect the changing profile of the investment portfolio. In addition, the Charitable Fund should consider having clearer procedures regarding the classification of income.
Priority	Medium
Recommendation	The FCPs should be reviewed regularly and updated as necessary.
Benefits of implementing the recommendation	Regular review of the FCPs will ensure that they remain relevant to the Charitable Fund and provide officers with appropriate procedures to follow.
Accepted in full by management	Yes
Management response	The Charitable Funds financial control procedure is reviewed on a 3-year rolling basis and is due for review in July 2022. Going forward, additional reviews will be carried out on an annual basis to ensure that the procedure remains relevant and that no significant changes have occurred since the last review. For clarity, the income section (section 9 of the current financial control procedure) will be enhanced to include a more detailed section on the types of income received by the Charity and how the classification is determined, e.g., grant, donation, legacy etc. and the documentation required to be obtained/retained to verify this classification.
Implementation date	July 2022
Progress update December 2022	Completed

Exhibit 5: matter arising 2 (2019-20)

Matter arising 2 (2019-20) – review and document the procedures undertaken to account for the Charity’s transactions and prepare the financial statements	
Findings	Officers undertake a variety of detailed procedures over the course of the year to account for the Charity’s transactions and prepare the financial statements. During the audit we identified that these are not documented and are not applied on a consistent basis.
Priority	High
Recommendation	<p>The procedures adopted in accounting for the Charity’s transactions should be reviewed and documented to ensure that they are appropriate and applied on a consistent basis. Examples of such procedures are:</p> <ul style="list-style-type: none"> • review the classification of grant income as it is all currently accounted for as Unrestricted, but has Terms and Conditions attached to its use. • review and classification of legacy income, as these are treated as Restricted, even where the monies are available for wide use, e.g., at a particular site. This will also include the three Restricted Funds reported on last year for which there is no documentation to support the classification as Restricted. • the method of allocating Support costs as they are allocated on different bases depending on the nature of the Fund, and the Note. In Note 12 they are allocated on the basis of the year-end Fund balance and in Note 20 on the average monthly Fund balance.
Benefits of implementing the recommendation	Reviewing and documenting the procedures adopted in accounting for the charitable funds will improve efficiency and ensure consistency.
Accepted in full by management	Yes
Management response	We will review and document our procedures to ensure consistency. We will also review the treatment of grant income and legacy income with regard to restricted or unrestricted classification. We will produce a series of Standing Operation Procedures to ensure that the accounts are produced on a consistent basis.
Implementation date	31 March 2021

<p>Progress update December 2021</p>	<p>Ongoing</p> <p>As part of our audit of the financial statements 2020-21, we noted that consideration had been given to the treatment whilst there was evidence to suggest treatment of grant income and legacy income with regard to restricted or unrestricted classification. However, our testing of income did identify a misclassification between grants and donations, a misclassification between legacies and donations, and a number of misclassifications of income within Note 5 – Income from Charitable Activities.</p>
<p>Management response</p>	<p>Section 9 of the Charitable Funds Financial Control Procedure will be updated to include a detailed section on the types of income received by the Charity and also the nature of the income, e.g., restricted, unrestricted. This will include details of how the income should be treated and the documentation required to be obtained on receipt of the income to verify the treatment within the Charitable Funds in the accounts.</p>
<p>Implementation date</p>	<p>July 2022</p>
<p>Progress update December 2022</p>	<p>Completed</p>

Exhibit 6: matter arising 3 (2019-20)

Matter arising 3 (2019-20) – fundholders have not always provided finance officers with complete and appropriate documentation on a timely basis	
Findings	During the audit we identified that complete supporting documentation was not provided for all transactions, and requests to raise invoices were not made on a timely basis.
Priority	High
Recommendation	Fundholders should be reminded of the procedures and requirements for managing a Fund, including timely and complete provision of documentation to finance officers.
Benefits of implementing the recommendation	More efficient use of finance officers' time if all relevant documentation is provided promptly, and the opportunity of reimbursement from the donor is not lost.
Accepted in full by management	Yes
Management response	<p>We do try to ascertain all information in relation to donations at the time of receipt, however, it may not always be possible to locate backing documents. There will always be instances where donations are paid directly into the Charitable Funds bank account. Where this occurs, we try and locate any backing documents associated with this receipt from the fundholder. We will ensure that the backing documents are kept on file or stored electronically going forward.</p> <p>In relation to invoices raised, the income recovery form should be completed within three months of the service/event. The procedure will be reiterated to fundholders, and compliance monitored.</p>
Implementation date	31 March 2021
Progress update December 2021	<p>Ongoing</p> <p>As part of our audit of the financial statements 2020-21, we noted instances where third party documentation to support donations was not available for audit. We acknowledge that obtaining third party documentation can be difficult in some cases due to the sensitive nature of the donation, however we noted instances where the chasing of third-party documentation can be strengthened.</p>
Management response	As reported previously, on receipt of the donation the team do try and ascertain any backing documentation in relation to the donation. We will evaluate the areas identified by Audit Wales to establish how we can improve on the chasing of 3rd Party documentation.
Implementation date	31 March 2022
Progress update December 2022	<p>Completed</p> <p>Our audit did not identify any issues in this area.</p>

Exhibit 7: matter arising 4 (2019-20)

Matter arising 4 (2019-20) – the Charity has agreed to review and streamline the number of individual Funds there are, as set out in the ‘Use of Funds’ paper presented to the Charitable Funds Committee on 9 May 2019	
Findings	This paper identified that as at 1 April 2019 there were 447 Funds, 64 were Restricted and 383 were Unrestricted; and that reducing this number would reduce the level of communication and administration required and enable the monies to be spent more effectively. As at 31 March 2020 the number of Funds had reduced to 432, of which 56 were Restricted and 376 Unrestricted.
Priority	High
Recommendation	The Charity needs to actively continue to review and streamline the number of Funds, especially now the Grange Hospital, which if not managed appropriately, has the potential to further increase the number of individual funds.
Benefits of implementing the recommendation	Streamlining the Funds will enable the Charity to achieve the identified reductions in communication and administration. As noted in the paper, if Funds with similar interests and objectives are merged, there is scope for the Funds to be used more effectively to deliver goods or services that individual Funds would not be able to achieve on their own.
Accepted in full by management	Yes
Management response	Work has already started on streamlining the number of funds in line with the opening of the Grange University Hospital. We are currently reviewing all funds held with a view to providing clarification on whether the fund can be combined by the end of March 2021.
Implementation date	31 March 2021
Progress update December 2021	Ongoing As part of our audit of the financial statements 2020-21, we noted that there has been no reduction in the number of individual funds, with there being 67 restricted funds and 372 unrestricted (total of 439) in 2020-21 compared to the total of 432 in 2019-20. We understand that work in this area will be ongoing during 2021-22.
Management response	This is an ongoing process with the number of funds continually under review in relation to streamlining the funds held by the HB. In the period January 2021 to September 2021, we have closed 38 funds, 18 of which had been merged with other funds with an additional 20 closed as the funds had been fully utilised. We have also opened a number of new funds due to the nature of the donation. We will continue to work with fund holders to merge funds of a similar nature to maximise the use of the donated income held in the funds.
Implementation date	Ongoing – initial review to be finalised by July 2022

Matter arising 4 (2019-20) – the Charity has agreed to review and streamline the number of individual Funds there are, as set out in the ‘Use of Funds’ paper presented to the Charitable Funds Committee on 9 May 2019

<p>Progress update December 2022</p>	<p>Ongoing</p> <p>As part of our audit of the financial statements 2021-22, we noted that there has been limited reduction in the number of individual funds, with there being 65 restricted funds and 365 unrestricted (total of 430) in 2021-22 compared to the total of 439 in 2020-21.</p> <p>We understand that work in this area will be ongoing during 2022-23.</p>
<p>Management response</p>	<p>This continues to be an on-going process. In 2021/22 the team secured the merging of 8 funds; 10 new funds were opened as a result of the type of donation received with a further 11 funds being closed during the year where the funds had been utilised. This reduced the number of funds held from 439 in 2020/21 to 430 in 2021/22</p> <p>The charitable funds team are carrying out visits and meetings with all fund holders where they are having discussions about merging funds, making sure that fund holders are up to date with the current Charitable Funds procedure and also encouraging them to utilise their funds on a cross service basis. A process has been put in place during 2022/23 where all employees can view the types of charitable funds held and who is the lead manager on the fund so that they can contact them to request funding if the funding required is within the scope of the scheme.</p>
<p>Revised implementation date</p>	<p>Complete – the details on merged funds, new funds and closed funds are routinely reported to the Charitable Funds committee at each meeting held as part of the finance report.</p>

Exhibit 8: matter arising 5 (2019-20)

Matter arising 5 (2019-20) – the Reserves Policy was last reviewed in May 2019 and does not explain how it provides sufficient funds for ongoing commitments	
Findings	The Reserves Policy should be reviewed annually, as set out in the Financial Control Procedure, and should specify how the Charity identifies what is required to meet its ongoing commitments. The Policy and disclosures in the Annual Report do not clearly explain this.
Priority	Medium
Recommendation	The next annual review of the Reserves Policy should include specifying how the Charity identifies the level of reserves required to meet its ongoing commitments. This will assist the Charity in reviewing its reserve levels and documenting in the Annual Report both the policy and the review, as per the requirements of the SoRP, paras 1.22 and 1.48.
Benefits of implementing the recommendation	The annual review of the Reserves Policy will improve governance and ensure that the Charity understands, reviews, and discloses its Reserves Policy and the funding required to meet ongoing commitments in compliance with the SoRP.
Accepted in full by management	Yes
Management response	The Reserves Policy will be reviewed to clearly identify the level of reserves required to be held to meet the ongoing commitments of the Charity. The financial control procedure will be updated to reflect the revised reserves policy at the next review stage which is 19 July 2022.
Implementation date	30 June 2021
Progress update December 2021	Ongoing As part of our audit of the financial statements 2020-21, we noted that the updated Reserves Policy has been drafted but not yet finalised. We understand that work in this area will be ongoing during 2021-22.
Management response	The revised reserves policy has been drafted and a report will be presented to the January Charitable Funds Committee for review and approval. If approved, the reserves policy will be implemented for the remainder of 2021-22.
Implementation date	11 January 2022
Progress update December 2022	Completed



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We welcome correspondence and telephone calls in Welsh and English.
Rydym yn croesawu gohebiaeth a galwadau ffôn yn Gymraeg a Saesneg.



GIG
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Bwrdd Iechyd Prifysgol
Aneurin Bevan
University Health Board

Charitable Funds Committee
Thursday, 19th January 2023
Agenda Item: 2.3

Charitable Funds Committee

Financial Report including Key Performance Indicators and Benchmarking for the Period Ending 30 November 2022

Executive Summary

This financial report presents the following:

- Financial update including investment valuation
- Report on significant donations
- Overdrawn accounts
- Key Performance Indicators (KPIs)
- Benchmarking of Administration Charge
- New Fund Requests

The Charitable Funds Committee is asked to approve the set-up of 2 new funds for new legacies received.

The Charitable Funds Committee is asked to note the remainder of this report.

The Committee is asked to:

Approve the Report	✓
Discuss and Provide Views	
Receive the Report for Assurance/Compliance	✓
Note the Report for Information Only	

Executive Sponsor: Robert Holcombe, Director of Finance, Procurement and Value Based Healthcare

Report Author: Estelle Evans, Head of Financial Services and Accounting

Report Received consideration and supported by:

Executive Team		Committee of the Board	Charitable Funds Committee
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Date of the Report: 22nd December 2022

Supplementary Papers Attached: Appendix A – Details of Static Funds

Purpose of the Report

This financial report is a standard agenda item and includes the following items:

- Financial update including investment valuation
- Report on significant donations

- Overdrawn accounts
- Key Performance Indicators (KPI's)
- Benchmarking of Administration Charge
- New fund requests

Background and Context

1. Background

This report provides a general financial update to the Committee and includes some standing items that were agreed as part of the annual work plan.

2. Issues

2.1 Financial Position as of 30 November 2022

Table 1 below shows the financial position for the period to 30 November 2022 (month 08) compared to the previously reported position as at Month 05 and the final 2021/22 Annual Accounts.

Table 1

Financial Statement to 30 November 2022	Final Accounts 2021/22 £000	Month 05 2022/23 £000	Month 08 2022/23 £000
<u>Income & Expenditure</u>			
Income			
Donations	399	218	267
Legacies	170	46	85
Grants	99	211	231
Investment Income	187	87	139
Other Income	126	62	162
	981	624	884
Expenditure	930	294	672
Gains / (losses) on investment assets	577	-30	-272
Surplus / (Deficit)	628	300	-60
<u>Balance Sheet</u>			
Property	343	246	343
Investments	5,484	5,453	5,211
Debtors	231	57	82
Current Liabilities	-387	-115	-242
Cash at Bank	373	606	590
Cash on Deposit	0	0	0
Net Assets	6,044	6,247	5,984
Funds of the Charity	6,044	6,247	5,984
Total Charity Funds	6,044	6,247	5,984

The following commentary supports the figures in Table 1:

2.1.1 Income

Month 08 income totals £884K. This is higher than the equivalent period in 2021/22 due to the level of donations & grant income received to date in 2022/23.

Since the last Committee we have received two new legacies:

- Neonatal Unit £19K
- Nevill Hall Haematology £20K

Donations, legacies & grant income

The following table shows donations, legacies & grant income exceeding £1,000 received since the start of the year:

Fund Ref	Received From	Amount £	Location
Apr-22			
F231 ABUHB DINKY DRAGONS	Avril Rymer	1,000.00	ABUHB
F303 ABUHB BREAST CENTRE	Just Giving	1,077.65	ABUHB
F703 ABUHB JACKS APPEAL	Taekwondo Arc Wales	1,000.00	ABUHB
F408 STW NEWPORT KIDNEY FUND (RENAL UNIT)	CHP Accountants	5,000.00	St Woolos
F003 ABUHB HOLDING ACCOUNT INT & ADMIN	Helpforce Community Grant	19,754.00	ABUHB
F997 ABUHB NHS CT GRANT COVID RECOVERY	NHS Charities Together Grant	93,905.00	ABUHB
		121,736.65	
May-22			
F363 STW DERMATOLOGY NURSE EDUCATION	Gwent Dermatology Patient	1,000.00	St Woolos
		1,000.00	
Jun-22			
F303 ABUHB BREAST CENTRE	L H Swift	1,075.00	ABUHB
F003 ABUHB HOLDING ACCOUNT INT & ADMIN	Hugh James Solicitors (Legacy)	45,384.46	ABUHB
F002 ABUHB CF COMMITTEE	Just Giving	1,810.44	ABUHB
		48,269.90	
Jul-22			
F231 ABUHB DINKY DRAGONS	Evol (Wales) Ltd - Tiny Rebel	1,500.00	ABUHB
F002 ABUHB CF COMMITTEE	Nevill Hall Hospital Thrombosis Fund	76,000.00	ABUHB
F295 ABUHB THE CARE PROJECT	Nevill Hall Hospital Thrombosis Fund	80,000.00	ABUHB
F703 ABUHB JACKS APPEAL	J E Methuen	1,000.00	ABUHB
F703 ABUHB JACKS APPEAL	JES Group Ltd	1,500.00	ABUHB
F703 ABUHB JACKS APPEAL	L K James	1,230.00	ABUHB
F191 ABUHB MATERNITY FUND	Rachel & Fred Morqan	2,830.00	ABUHB
F566 YYF RISCA WARD 3/1 (COE)	Mrs J M Burr	1,200.83	Ysbyty Ystrad Fawr
		165,260.83	
Aug-22			
F161 ABUHB GUH A3 GYNAECOLOGY	C M Fleming	1,000.00	ABUHB
F703 ABUHB JACKS APPEAL	M Hadley	3,000.00	ABUHB
		4,000.00	
Sep-22			
F231 ABUHB DINKY DRAGONS	Just Giving	1,079.59	ABUHB
F703 ABUHB JACKS APPEAL	M Hadley	2,250.00	ABUHB
F003 ABUHB HOLDING ACCOUNT INT & ADMIN	J F Rabbitt	1,000.00	ABUHB
		4,329.59	
Oct-22			
F231 ABUHB DINKY DRAGONS	Just Giving	1,160.97	ABUHB
F282 GUH C0 SURGICAL WARD	Christopher Whiteley	1,000.00	GUH
F232 ABUHB NEONATAL UNIT	Roger James Clement (Legacy)	19,157.60	ABUHB
F211 ABUHB GWENT CYSTIC FIBROSIS FUND	Unknown	1,000.00	ABUHB
		22,318.57	
Nov-22			
F191 ABUHB MATERNITY FUND	Megan Steerment	1,140.00	ABUHB
F703 ABUHB JACKS APPEAL	Torfaen County Borough Council	1,750.00	ABUHB
F703 ABUHB JACKS APPEAL	C&P Engi LTF	1,335.00	ABUHB
F003 ABUHB HOLDING ACCOUNT INT & ADMIN	Gabb & Co Solicitors (Legacy)	20,000.00	ABUHB
F273 RGH WARD B6 NORTH – HAEMATOLOGY	Sarah Williams	1,100.00	Royal Gwent
		25,325.00	
Overall Total		366,915.54	

2.1.2 Expenditure

The year-to-date expenditure totals £672K and is lower than in the equivalent period of 2021/22. This is due to a higher level of COVID spend in 2021/22 compared to projected spend in 2022/23 along with a reduction in fixed term staffing costs which ended on 31.03.2022.

2.1.3 Gains / Losses on Investment Assets

At the 30 November 2022, the CCLA investment is presenting an unrealised loss of £272K against the 2021/22 year end value. However, given the market volatility of the underlying equity and bond investments, it is not possible to predict the final year end position at this stage.

2.1.4 Overall Position

The overall position for the period is a decrease in funds of £60K. Excluding investment gains there would be an underlying increase of £212K, which indicates that income has exceeded expenditure so far this year.

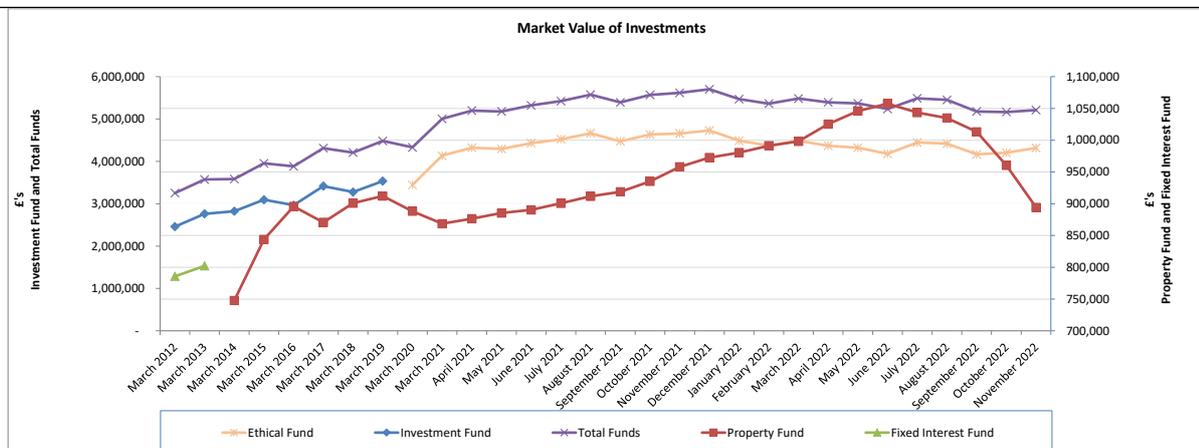
2.1.5 Balance Sheet

Value of Investments

The investments valuation for CCLA as of 30 November 2022 is as follows:

Investment Valuation	No of Units	Valuation / Unit as at 30/11/22 Pence/Unit	Total Valuation £000
Property Fund	769,509	116.20	894
Investment Fund	-	-	-
Ethical Fund	1,511,068	285.69	4,317
Deposit Fund			-
Total			5,211

The following chart shows the movements in the market value of the CCLA funds since March 2012.



Cash Balances

Overall cash balances have increased since the start of the year, and at the end of August we held £606K in current / bonus accounts as follows:

- £0.021M in the Santander Bonus Account (immediate access) at 0.01%.
- £0.568M in the RBS Current Account.
- £0.001M in petty cash.

2.2 Overdrawn Accounts

There are no overdrawn accounts as of 30 November 2022.

3. Streamlining of funds & Closed Funds

The following funds have been merged and closed:

- F223 RGH PAEDIATRIC DEPT merged with F221 NHH WARD 2/3 PAEDIATRICS
- F464 RGH MICROBIOLOGY STAFF merged with F459 RGH MICROBIOLOGY
- F456 NHH CLINICAL BIOCHEMISTRY STAFF FUND merged with F465 RGH BIOCHEMISTRY EDUCATION FUND
- F856 YYF RESPIRATORY FUND merged with F855 YYF RESPIRATORY FUND

Where appropriate funds (including legacy funds) will be renamed to match the hospital where the service is now located.

4. New Funds

Approval to set up the following new legacy funds as restricted funds is requested:

- Legacy - Robert Price – Haematology, NHH
- Legacy – Joyce Evelyn Oak – Neonatal Unit, GUH

5. Key Performance Indicators (KPIs)

Setting Key Performance Indicators (KPIs) allows the committee to measure the performance of the Charity across a range of objectives over a period of time.

Following discussions, we have identified the following KPIs to report to the Committee. These are split between KPIs that are measured quarterly, year to date & annually:

Charitable Funds Key Performance Indicators	Measurement		Quarterly Indicators		
			2021/22 Q4	2022/23 Q1	2022/23 Q2
Number of funds	Number of funds	No	430	429	428
Number of static funds	A static fund is classed as any fund where the cumulative expenditure is less than 10% of the average fund balance over a 2 year period	No	32	33	31

There has been a small decrease in the number of funds and a small decrease in the number of static funds since the start of the financial year. Details of the static funds are shown in Appendix A.

Charitable Funds Key Performance Indicators	Measurement		YTD Indicators		
			2021/22 M01 - M12	2022/23 M01 - M05	2022/23 M01 - M08
Number of merged funds	Number of funds closed as a result of merger	No	8	5	9
Expenditure expressed as a percentage of the overall fund balance	Expenditure excludes admin charge to reflect 'true' spend	%	13.29	3.83	9.82
Donations received in the year	Number of Donations Received	No	1,145	361	575
	Value of Donations Received	£	399,434	218,065	266,831

There have been 9 funds merged since the start of the year.

Expenditure as a percentage of the overall fund balance should increase as the year progresses and more expenditure is incurred in future months. The aim is for this measure to be higher than the 2021/22 value by the end of the financial year.

The number and value of donations received to date are also detailed above, with the 2021/22 values shown as comparators.

The table below will be completed at the year-end & will reflect the average time period between the receipt of income and the corresponding expenditure being incurred.

Charitable Funds Key Performance Indicators	Measurement	Annual Indicators	
		2021/22 M12	2022/23 M12
Time between receipt of income and expenditure incurred	Donations Legacies Grants	To be updated for year end report	

6. Benchmarking of the Administration Charge to other NHS Organisations

The Charitable Funds Committee at its last meeting asked if our administration charges are comparable with the other Health Boards in Wales. It was explained that there is an All-Wales Charity Technical Accounting Group (TAG) that meets on a regular basis and shares benchmarking information.

The following table shows the administration charge or support costs, as they are shown in the annual accounts, for each Welsh NHS Charity for 20/21 which is the latest information we have. The table also shows these support costs as a percentage of the total value of funds held.

All Wales Charity Benchmarking			
2020/21			
	Value of Funds £'000s	Support Costs £000s	Total Fee as % of Value %
Aneurin Bevan	5,416	126	2.3%
ABMU/ Swansea Bay	5,955	106	1.8%
Betsi Cadwalder	10,619	124	1.2%
Cardiff & Vale	9,147	148	1.6%
Cwm Taf	3,836	80	2.1%
Hywel Dda	10,459	100	1.0%
Powys	3,933	91	2.3%
Velindre	6,763	78	1.2%
WAST	737	10	1.4%

Assessment and Conclusion

This report provides a financial update for the Charitable Funds Committee for the period ending 30 November 2022.

Recommendation

The Charitable Funds Committee is asked to approve the setting up of the 2 new funds and to note the remainder of this report.

Supporting Assessment and Additional Information

Risk Assessment (including links to Risk Register)	<i>Sound reporting of the charity's financial position helps ensure good financial management of the charity and reduces financial risk.</i>
Financial Assessment including Value for Money	<i>The charity finances show a similar position in terms of income and expenditure and net assets to previous periods and does not present any significant risks currently.</i>
Quality, Safety and Patient Experience Assessment	<i>The ability of the charity to support donations to the NHS in Gwent help deliver an improved patient experience.</i>
Equality and Diversity Impact Assessment (including child impact assessment)	<i>A co-ordinated approach to the use of monetary donations will ensure that all patient groups and associated wellbeing needs are fully considered and spend prioritised.</i>
Health and Care Standards	<i>No impact</i>
Link to Integrated Medium Term Plan/Corporate Objectives	<i>Indirect link in as much as some purchases made through the charity could reduce pressure on the capital and revenue financial pressures of the main Health Board.</i>
The Well-being of Future Generations (Wales) Act 2015 – 5 ways of working	Long Term - <i>Not applicable to this report</i>
	Integration – <i>Not applicable to this report</i>
	Involvement – <i>Not applicable to this report</i>
	Collaboration – <i>Not applicable to this report</i>
Prevention – <i>Not applicable to this report</i>	
Glossary of New Terms	<i>KPIs – Key Performance Indicators FCP – Financial Control Procedure</i>
Public Interest	<i>Report available in the public domain as part of the Charitable Funds Committee papers.</i>

Static Funds Q2 2022/23			
Fund	Fund Balance Q2 2022/23 £	Expenditure Incurred Q3 20/21 - Q2 22/23 £	Details
Legacy / Grant Funds			
F010-LEGACY STW GEN G S WATKINS	- 26,325.45	1,226.29	Under the control of the Charitable Funds Committee
F018-LEGACY CCH GEN R W JONES	- 52,221.64	2,432.58	Under the control of the Charitable Funds Committee
F278-LEGACY RGH HAEM G S WATKINS	- 60,765.46	2,830.56	Directorate to be contacted
F298-LEGACY RGH BREAST G S WATKINS	- 60,765.46	2,830.56	In view of the new breast unit opening up in Dec 23, it has been agreed to use this fund for equipment
F342-LEGACY NHH RHEUM I M MORRIS	- 100,188.96	4,666.98	The directorate are looking at how they can utilise their funds and have purchased a few laptops
F387-LEGACY RGH EYE G M GUNTER	- 29,560.83	1,376.99	A £43k equipment purchase was approved by the Charitable Funds Committee in March 22 but not yet ordered
F552-LEGACY YAB B E WOOD	- 11,048.71	1,281.48	Dementia boards and clocks being purchased for all wards in YAB
F583-LEGACY NHH PALLIATIVE CARE I M MORRIS	- 29,132.85	1,479.68	Follow up with directorate
F817-LEGACY NHH DIAB G M GUNTER	- 29,560.83	1,376.99	See F816 below
F831-LEGACY CALCRAFT J GRIFFITHS	- 100,323.58	220.75	New legacy received in December 2021, presentation on spend given to Oct 22 committee meeting
F997-ABUHB NHS CT GRANT COVID RECOVERY	- 93,905.00	-	New grant received in April 2022, expenditure has already been determined
	- 593,798.77	19,722.86	
Unrestricted Funds			
F057-RGH PG MRCOG	- 29,483.18	1,938.82	There are plans to replace all the audio systems in the Postgraduate Unit over the next 12 months which will potentially utilise most of the funds. A contribution will be made from each of the funds held including this one
F103-ABUHB CHILL OUT IN THE CHAPEL	- 27,727.38	1,344.59	Services stalled for 2 years due to the pandemic, the service is now resuming
F105-ABUHB VOLUNTEERING (CORPORATE)	- 71,948.07	2,786.19	Credited with Clytha Square rental income - £14k per year. CF Committee approved bid for £50k in Aug 22 for staffing to implement a system for service user feedback
F270-NHH CLINICAL HAEMATOLOGY	- 39,014.86	1,840.88	This is being used for the new cancer centre on ward 2/4, NHH
F277-NHH WINDSOR SUITE	- 50,684.13	2,001.68	Additional donations of £19k received in 2021/22 and this fund will be used for the new cancer centre on ward 2/4, NHH
F300-NHH BREAST CARE FUND	- 45,614.64	2,953.77	In view of the new breast unit opening up in Dec 23, it has been agreed to use this fund for training purposes
F301-RGH BREAST CARE UNIT	- 71,694.90	3,339.68	In view of the new breast unit opening up in Dec 23, it has been agreed to use this fund for equipment
F303-ABUHB BREAST CENTRE	- 248,240.95	10,956.30	Additional donations of £57k received in 2021/22 - Unit due to be opened Dec 23
F340-ABUHB RHEUMATOLOGY	- 58,183.68	2,710.31	The directorate are looking at how they can utilise their funds
F367-RGH E N T RESEARCH/TEACHING	- 23,874.42	1,112.12	Directorate currently reviewing funds
F386-RGH POST GRADE EYE	- 86,849.19	3,026.98	A £43k equipment purchase was approved by the Charitable Funds Committee in March 22.
F400-RGH RLG UROLOGY FUND	- 43,309.79	3,115.42	Directorate currently reviewing funds
F407-RGH WINDSOR BOWSER PROSTATE CANCER FUND	- 62,800.62	4,238.80	Directorate currently reviewing funds
F435-ABUHB DIET SHEET	- 25,828.62	1,225.99	Directorate to be contacted
F485-ABUHB PHYSIO EQUIP FUND	- 24,617.57	1,160.88	Directorate to be contacted
F561-YYF PENALLTA (PALLIATIVE CARE)	- 24,807.37	2,172.89	Ward has changed use, follow up with directorate and Hospital Manager
F586-NHH ONCOLOGY REHAB	- 75,767.61	3,526.53	This is being used for the new cancer centre on ward 2/4, NHH
F696-STW SPRINGFIELD FUND	- 111,000.43	5,790.88	To be used to refurbish the wards in the unit
F816-NHH WENDY BOWEN TRUST DIABETES FUND	- 34,077.13	1,705.63	Plans to use funds for patient events based outside of hospital environment and with "celebrity" speakers and awards were cancelled due to the pandemic, due to be rearranged
F835-GUH B4 STROKE UNIT	- 24,188.29	1,839.81	Directorate currently reviewing funds
	- 1,179,712.83	58,788.15	
Total Static Funds	- 1,773,511.60	78,511.01	

Charitable Funds Committee

AVAILABLE FUNDING & SMALL GRANTS SCHEME

Executive Summary

The Committee has funded numerous bids from general funds over the years, however with limited funds now available, the Committee approved a scheme allowing individuals to engage with Charitable Fund Holders to potentially access some of their charitable funds if the request meets the purpose/criteria of that fund. Once these avenues of funding have been explored the individual will be able to apply to the Committee for a small grant (£5k or under) either in whole or as a top-up to funding they have been able to secure.

The Small Grants Scheme was approved by the Committee in March 22 to reflect the limited funds available in the general fund and the desire to fund as many projects as possible. Two small grant requests are presented within this report for consideration by the Committee.

This report also provides the Charitable Funds Committee with details of funds that are available to them as at 30.11.2022.

The Committee is asked to:

Approve the Report	√
Discuss and Provide Views	
Receive the Report for Assurance/Compliance	
Note the Report for Information Only	

Executive Sponsor: Robert Holcombe, Director of Finance, Procurement and Value Based Healthcare

Report Author: Estelle Evans, Head of Financial Services and Accounting

Report Received consideration and supported by:

Executive Team		Committee of the Board	Charitable Funds Committee
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Date of the Report: 4th January 2023

Supplementary Papers Attached:

Small grant request SGS-004

Small grant request SGS-005

Purpose of the Report

This report provides the Charitable Funds Committee with details of funds that are available to them as at 30.11.2022 and presents two small grant requests for consideration by the Committee.

Background and Context

Funds Available to the Charitable Funds Committee

The following table shows the discretionary funding currently available to the Committee:

Table 1

Charitable Funds Committee - General Funds	ABUHB F002 £000's	STW F010 £000's	RGH F006 £000's	CCH F018 £000's	Land F008 £000's	Clytha Sq F007 £000's	Painting F559 £000's	Contingency F002 £000's	Reserves F002 £000	Total £000's
Funds previously available as at 31.03.2022	88	26	0	52	68	153	25	46	266	724
Revaluation of 13 Clytha Square - Audit Adjustment 21/22 accounts						97				97
Funds available as at 31.03.2022	88	26	0	52	68	250	25	46	266	821
Less Commitments										
NHH Equipment Bid	-20									-20
YYF Radio Bid	-3									-3
Monies held in reserve re BID CFC-243 Volunteer Event	-15									-15
SGS-002 Chapel Wall Screens (not yet paid)	-1									-1
SGS-003 In Tune with Parent & Infant Mental Health Conference	-5									-5
Remaining available funds after commitments	44	26	0	52	68	250	25	46	266	777
2022/23										
Donations										
NHH Thrombosis Fund	76									76
Commitments										
Increase in Reserves - 2022/23	-22								22	0
Potential Legal Charges - TP Price Estate	-5									-5
Remaining available to the Committee as at 30.11.2022	93	26	0	52	68	250	25	46	288	848

Key points:

- Current funds available to the Committee for general ABUHB use is £93k.
- The Committee hold a contingency fund of £46k and reserves of £288k.
- At 30th November 2022, our investments show an unrealised loss of £272k
- The funds by site (STW £26K & CCH £52K) are made up of general legacy funds restricted by site and to comply with the terms of the legacy the money must be spent in the relevant site.

Small Grant Requests received

Two grant requests have been received as identified in the table below. A copy of the grant applications are attached.

Grant Requests	Total Cost £	Ongoing Costs	Area of Benefit
SGS-004 LGH Walled Garden - Paths Upgrade	2,560	N/A	Staff, Patients & Visitors
SGS-005 Scheduled Care - Gas Cylinder Trolleys	2,500	N/A	Patients
Total	5,060	0	

The requests are being submitted to the Committee as no other source of funding could be found within the list of existing charitable funds.

Assessment and Conclusion

The Charitable Funds Committee are able to apply general funds for the benefits of patients and staff across the Health Board through the Small Grants Scheme.

The Committee has a balance of £93k available to support small grants.

Recommendation

The Committee is asked to approve the small grant requests at a cost of £5,060 and note the balance available to them to support the Small Grant Scheme.

Supporting Assessment and Additional Information

Risk Assessment (including links to Risk Register)	<i>The aim of the report is to give clarity of funding position of the Committee and reduce the risk of allocating funding inappropriately.</i>
Financial Assessment, including Value for Money	<i>Decisions to support requests may have an indirect impact on revenue budget pressures within the main Health Board</i>
Quality, Safety and Patient Experience Assessment	<i>Donations to the charity directly affect patient experience in a positive manner.</i>
Equality and Diversity Impact Assessment (including child impact assessment)	<i>A co-ordinated approach to the use of monetary donations will ensure that all patient groups and associated wellbeing needs are fully considered and spend prioritised.</i>
Health and Care Standards	<i>No impact</i>
Link to Integrated Medium Term Plan/Corporate Objectives	<i>Indirect link in as much as some purchases made through the charity could reduce pressure on revenue financial pressures of the main Health Board.</i>

The Well-being of Future Generations (Wales) Act 2015 – 5 ways of working	Long Term – <i>Not applicable to this report</i>
	Integration – <i>Not applicable to this report</i>
	Involvement – <i>Not applicable to this report</i>
	Collaboration – <i>Not applicable to this report</i>
	Prevention – <i>Not applicable to this report</i>
Glossary of New Terms	
Public Interest	<i>Report available in the public domain as part of the Charitable Funds Committee papers.</i>



**Charitable Funds
Small Grants Scheme Application – Max £5k
CFC/SGS xxx**

1. Name of ward or department and hospital:	
Llanfrechfa Grange Walled Garden	
2. Description of item/service required:	
Help with funding the top dressing of self-binding gravel to the remaining paths around the garden to improve accessibility for wheelchairs.	
3. Cost of item/service plus supplier information:	
<i>Please provide a quote if available and ensure that any costs for delivery and installation are included.</i>	
<i>Please state if your costs include VAT.</i>	
<i>If there is any ongoing maintenance or consumable costs, please explain how you intend paying for this.</i>	
Materials	£
20 tonnes self-binding gravel	2,100
4 tonnes sub base	220
Hire vibrating roller	240
Installation	0. This will be undertaken by volunteers.
Total	2,560 inclusive of VAT.
N.B. FoLGWG is not VAT registered	
Ongoing maintenance and consumables – will be met by Friends of Llanfrechfa Grange Walled Garden (Registered Charity 1176172)	
4. How will this item/service benefit patients and staff:	
<p>Since the garden opened to the public in April 2022, it regularly welcomes many visitors walking over from GUH or the offices in Grange House. This includes staff, hospital visitors, and occasionally even patients. The garden is also enjoyed by members of the local community.</p> <p>A good quality top dressing of self-binding gravel has already been laid to the main north/south/east/west paths and some of the smaller inter-connecting paths in the southern half of the garden. Extending this surface to the other paths on the west side of the garden, particularly those in the Reflective quadrant, will improve the accessibility of the garden as there will be a smoother and safer surface for wheelchair users and other visitors with impaired mobility, it will improve the aesthetic appearance and it will make the paths easier to keep drained and weed-free. We would like to get this work done over the winter 2022/23 in time for visitors in the spring.</p>	



5. Have you applied for funding for this item/service elsewhere, including your own charitable fund:

Please give details of who you approached, and the response received.

We received a grant from the CFC for £2400 in 2018 to help with materials to dig out and install the landscaping framework for the paths. This was supplemented by funds from the FOLGWG and manpower and loan of equipment from volunteers and local businesses.

We have no other grant applications extant for this work at the moment.

6. Other supporting information:

7. Submitted by:

Name: Jan Smith, MBE

Job title: Chair of Friends of Llanfrechfa Grange Walled Garden

Telephone: 07860 585366

Email: info.lgh.garden@gmail.com

8. Supported by:

This must be signed by the Directorate Manager/Head of Service

Name: Nicola Prygodzicz

Date: 8.12.22

Job title: Chief Executive Officer,
Aneurin Bevan University Health Board

Signature:

For Charitable Funds Committee Use

Approved	Not Approved
Next Action:	Reason:

Charitable Funds Small Grants Scheme Application – Max £5k CFC/SGS 005

1. Name of ward or department and hospital:
Scheduled Care, YYF, NHH and RGH Theatres.
2. Description of item/service required:
YYF and NHH – 1 gas cylinder trolley each for sizes E or D and regulator for the cylinder to connect to the anaesthetic machine RGH – 4 x size E trolleys and regulators for Theatres 1 x trolley Urology Wall brackets and regulators x 2
3. Cost of item/service plus supplier information:
<i>Please provide a quote if available and ensure that any costs for delivery and installation are included.</i> <i>Please state if your costs include VAT.</i> <i>If there is any ongoing maintenance or consumable costs, please explain how you intend paying for this.</i>
Regulators from Oxylitre at £118.24 each plus VAT Trolley from Therapy Equipment at £110 each plus VAT and delivery Cylinder holders from BOC at £114 each including VAT, free delivery
7 trolleys £770 plus VAT 9 regulators £1064.16 2 Cylinder holders £228 (incl. VAT)
Total: £2062.16 plus VAT and delivery
4. How will this item/service benefit patients and staff:
The purchase of these trolleys will support the decommission of nitrous oxide manifold at NHH, YYF and RGH. The trolleys will house and transport the cylinders for use and refill. An audit was conducted in 2021 and identified the clinical use of nitrous oxide did not match the volume of nitrous oxide being using via pipes (manifold), highlighting a gap of 99%. This could be a result of a mixture of things but the main one being leaks in the pipes meaning the nitrous oxide was/is being leaked into the atmosphere. (See attached table) To stop this from happening, there is a project to decommission nitrous oxide manifold under the Welsh Government Decarbonisation Programme. The manifold has been switched off at SWH with an estimated annual cost saving of £5269 and a wastage saving of an estimated 90%.



Site	GUH	SWH	RGH	NHH	YYF
Clinical usage	36, 906L (since November 2021)	983L (since Jan 2021)	2000 L (since Jan 2021)	To be audited	300L
Purchased volumes	117, 000L	477, 000L	808, 200L	154, 800L	36, 000L
Discrepancy between clinical usage and purchased volume	80, 094L	476, 017L	806, 200L	To follow	35, 700L
Estimated financial cost of wastage		52G cylinders = £1161/rental year + £4108 N2O/year Total = £5269/year	89 G cylinders = (£163 rental/month) £1,967rental/year + £7036 N2O/year Total = £9000/year	(Estimate) 17G cylinders = £374/rental year + £1343/N2Oyear Total = £1717/year	4 G cylinders = £88/rental year + £316/N2Oyear Total = £404/year
Wastage %	68% <i>(Works and estates to confirm volume used to test pipelines)</i>	99%	99%	<i>To follow (2020-21 estimate 99%)</i>	99%
Environmental 'cost' of waste Or CO2e (units kg CO2e) (1000L = 1.98kg N2O & N2O 298 x GWP CO2)	47, 084	280, 418	475, 012	<i>To follow</i>	21, 064

5. Have you applied for funding for this item/service elsewhere, including your own charitable fund:

Please give details of who you approached, and the response received.

No other sources of suitable funding have been sourced. We do not have our own charitable funds.

6. Other supporting information:

7. Submitted by:



Name: Francine Phillips	Job title: Service Improvement Manager
Telephone: X 44164	Email: Francine.phillips@wales.nhs.uk
8. Supported by: <i>This must be signed by the Directorate Manager/Head of Service</i>	
Name: Marcus Silcocks Job title: Service Manager PACCT Signature: 	Date: 09/12/22

For Charitable Funds Committee Use

Approved	Not Approved
Next Action:	Reason:

CHARITABLE FUNDS COMMITTEE PROGRAMME OF BUSINESS 2022/23

The purpose of the **Charitable Funds Committee** is to ensure the stewardship and effective management of funds which have been donated, bequeathed and given to the Aneurin Bevan Health Charity for charitable purposes by making and monitoring arrangements for the control and management of the Health Board's Charitable Funds.

This Annual Programme of Business has been developed with reference to:

- the Committee's Terms of Reference as agreed by the Board in March 2022;
- the Board's Assurance Framework (based on its Annual Objectives for 2021/22 and 2022/23).
- key risks identified through the Corporate (Strategic) Risk Register and Operational Risk Registers.
- audit and regulatory reports identifying weaknesses in internal control (following consideration by the Audit, Risk and Assurance Committee); and
- key statutory, national and best practice requirements and reporting arrangements.

Matter to be Considered by Committee	Frequency	Responsible Lead	Scheduled Committee Dates 2022/23					
			2 nd Aug	27 th Oct	19 th Jan	2 nd March		
Preliminary Matters								
Attendance and Apologies	Standing Item	Chair	✓	✓	✓	✓		
Declarations of Interest		All Members	✓	✓	✓	✓		
Minutes of the Previous Meeting		Chair	✓	✓	✓	✓		
Action Log and Matters Arising		Chair	✓	✓	✓	✓		
Committee Requirements as set out in Standing Orders								
Development of Committee Annual Programme of Business 2022/23	Annually	Chair & Director of CG				✓		
Review of Committee Programme of Business	Standing Item	Chair	✓	✓	✓	✓		
Annual Review of Committee Terms of Reference 2022/23	Annually	Chair & Director of CG				✓		
Annual Review of Committee Effectiveness 2022/23	Annually	Chair & Director of CG				✓		
Committee Annual Report 2022/23	Annually	Chair & Director of CG				✓		
Financial Reporting								
Financial Update including Investments Valuation	Standing Item	Head of Financial Services & Accounting	✓	✓	✓	✓		
Report on Significant Donations and Gifts	Standing Item	Head of Financial Services & Accounting	✓	✓	✓	✓		
Update on new and closed funds	Standing Item	Head of Financial	ü	✓	✓	✓		

Matter to be Considered by Committee	Frequency	Responsible Lead	Scheduled Committee Dates 2022/23							
			2nd Aug	27th Oct	19th Jan	2nd March				
		Services & Accounting								
Overdrawn Accounts	Standing Item	Head of Financial Services & Accounting	✓	✓	✓	✓				
KPIs Review	Standing Item	Head of Financial Services & Accounting		✓	✓	✓				
Legislation Changes	Standing Item	Head of Financial Services & Accounting		✓ (Separate item)	✓	✓				
Expenditure Approval										
Funds available to the Committee	Standing Item	Assistant Finance Director	✓	✓	✓	✓				
Consideration of Bids/Small Grants	Standing Item	Assistant Finance Director	✓	✓	✓	✓				
Fund Holders										
Attendance at Meetings*	Standing Item	Head of Financial Services & Accounting	✓	✓	✓	✓				

Matter to be Considered by Committee	Frequency	Responsible Lead	Scheduled Committee Dates 2022/23							
			2nd Aug	27th Oct	19th Jan	2nd March				
Spending Plans over £25k	Annually	Head of Financial Services & Accounting	✓							
Spending Plans Review	6 monthly	Head of Financial Services & Accounting			✓ deferred	✓				
Governance and Assurance										
Level of Reserves	Annually	Assistant Finance Director	✓							
Review Investment Performance – CCLA to attend	Annually	Assistant Finance Director			✓					
Review of Financial Control Procedure	Annually	Assistant Finance Director				✓				
Specification for Investment Contract (Expires 31.3.23)	One-off	Assistant Finance Director	✓							
Tender for Investment Contract	One-off	Assistant Finance Director		✓						
Appointment of Investment Managers	One-off	Assistant Finance Director			✓					

Matter to be Considered by Committee	Frequency	Responsible Lead	Scheduled Committee Dates 2022/23						
			2nd Aug	27th Oct	19th Jan	2nd March			
General Reports									
Approval of Admin Charge/Unrealised Gain Apportionment 22/23	Annually	Head of Financial Services & Accounting		✓					
Proposed change in Accessing Charitable Funds	Cmt Request	Head of Financial Services & Accounting		✓					
An update on 13 Clytha Square	Standing Item	Head of Financial Services & Accounting	✓	✓	✓	✓			
Annual Accounts and Report									
Draft Annual Report and Accounts	Annually		✓						
Final Accounts and Annual Report Approval	Annually				✓				
Evaluation Reports									
None expected as at June 22									
Items requested by Committee members/internal stakeholders									

KEY	
D of CG	Director of Corporate Governance
D of FPV	Director of Finance, Procurement and Value

FCP	Financial Control Procedure
KPI	Key Performance Indicators

*schedule of fundholders	
August	Person Centred Care Charitable Funds
October	Calcraft Fund
January	TBC
March	TBC



GIG
CYMRU
NHS
WALES

Bwrdd Iechyd Prifysgol
Aneurin Bevan
University Health Board

Aneurin Bevan University Health Board
Thursday, 19th January 2023
Agenda Item: 4.1

Aneurin Bevan University Health Board

Partnership Agreement between Newport County Football Club & our Neonatal Unit

Executive Summary

The Neonatal Unit at Grange University Hospital have set up an internal group for fundraising for the unit, known as Dinky Dragons. They were approached by Newport County Associated Football Club to form a partnership to assist in their fundraising.

This was referred to NWSSP Legal and Risk Team who have drafted a partnership template for use in this agreement with generic sections that can be applied to any future agreements with other partners.

The Charitable Funds Committee approved this proposal in October 2022 and are now asked to approve the actual partnership template.

The Committee is asked to: (please tick as appropriate)

Approve the Report	✓
Discuss and Provide Views	
Receive the Report for Assurance/Compliance	
Note the Report for Information Only	

Executive Sponsor: Robert Holcombe, Director of Finance, Procurement and Value Based Healthcare

Report Author: Estelle Evans, Head of Financial Services & Accountancy

Report Received consideration and supported by:

Executive Team		Committee of the Board	Charitable Funds Committee
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Date of the Report: 4th January 2023

Supplementary Papers Attached:

Partnership Agreement

Purpose of the Report

The Charitable Funds Committee are asked to approve the partnership template drawn up by NWSSP Legal and Risk Team for use in this agreement and in any future agreements with other partners.

Background and Context

The Committee met in October 2022 and agreed a proposal to form a partnership between the Neonatal Unit and Newport County Associated Football Club using a template drawn up by NWSSP Legal and Risk Team on our behalf.

Assessment and Conclusion

The partnership agreement between Aneurin Bevan University Health Board Charitable Fund and Newport County Association Football Club is attached.

Recommendation

The Charitable Funds Committee are asked to approve the partnership agreement written by the NWSSP Legal and Risk Team which can be adapted for use in any other future partnerships.

Supporting Assessment and Additional Information

Risk Assessment (including links to Risk Register)	<i>The partnership agreement has been drafted by Shared Services – Legal & Risk to mitigate any potential risks to the charity</i>
Financial Assessment, including Value for Money	<i>This partnership will increase visibility of the charity and specifically the Neonatal Unit which will likely lead to an increase in donations to the charity.</i>
Quality, Safety and Patient Experience Assessment	<i>Donations to the charity directly affect patient experience in a positive manner.</i>
Equality and Diversity Impact Assessment (including child impact assessment)	<i>No impact</i>
Health and Care Standards	<i>No impact</i>
Link to Integrated Medium Term Plan/Corporate Objectives	<i>No direct link</i>
The Well-being of Future Generations (Wales) Act 2015 – 5 ways of working	Long Term – <i>No implications for this report</i>
	Integration – <i>No implications for this report</i>
	Involvement – <i>No implications for this report</i>
	Collaboration – <i>No implications for this report</i>

	Prevention – <i>No implications for this report</i>
Glossary of New Terms	
Public Interest	<i>Report available in the public domain as part of the Charitable Funds Committee papers.</i>

AGREEMENT BETWEEN ANEURIN BEVAN UNIVERSITY LOCAL HEALTH BOARD CHARITABLE FUND, AND A COMMERCIAL PARTICIPATOR - NEWPORT COUNTY ASSOCIATION FOOTBALL CLUB

DATED 2022

BETWEEN

1) **Aneurin Bevan University Local Health Board Charitable Fund**, Registered Charity No. 1098728 whose head office is at; PO Box 10 Pontypool NP4 0GX (The Charity), and

2) **Newport County Association Football Club**, Company No. 02395863 whose registered office is at Rodney Parade, Rodney Road, Newport NP19 0UU (NC)

BACKGROUND

a) The Neonatal Unit at Grange University Hospital have set up an internal group for fundraising for the unit, known as the "Dinky Dragons". Newport County Association Football Club have approached the Neonatal Unit to form a partnership to assist in their fundraising.

b) NC is a commercial participator in relation to the Charity as defined in Section 58 of the Act.

c) This agreement is entered into to comply with the Act and the Regulations.

NOW IT IS AGREED as follows:

1. Definitions

In this agreement the following words and phrases shall have the following meanings, unless the context otherwise requires:

'the Act' the Charities Act 1992, as amended;

'Data' all lists of names and/or other details of supporters of the charity in whatever form supplied to or held by NC;

'Logo' the logo of the Charity, details of which appear in Schedule 1;

'Regulations' the Charitable Institutions (Fund-Raising) Regulations 1994, as amended;

'Term' the period of 1 August 2022 to 31 July 2023;

'Territory' United Kingdom of Great Britain and Northern Ireland.

2. The purpose of the agreement is to raise funds for the Charity by:

- NC posting their support of Dinky Dragons on social media and website;
- NC support fundraising efforts by allowing Dinky Dragons a stand at home games to sell merchandise and collect bucket donations;
- NC donate free tickets, signed merchandise for Dinky Dragons to raffle.

3. The Parties agree to work together for the implementation of the project during the period 1 August 2022 to 31 July 2023 in accordance with the terms set out in this agreement, unless

it is terminated or extended in writing by the mutual consent of the Parties. The agreement shall be reviewed annually by the parties.

2. Appointment of Sub-licensee

In consideration of the undertakings given by NC in this agreement, The Charity hereby appoints NC as its non-exclusive sub-licensee to use the name and Logo and to exploit the Data in the Territory for the Term on the terms of this agreement.

3. Obligations of NC

NC undertakes with the Charity that it shall:

3.1 not bring the name or the Logo into disrepute in any way whatsoever and that none of its activities or those of any subsidiary or holding company are or will be inimical to the activities of the Charity.

3.2 keeps confidential all Data disclosed to it by The Charity and to use it only for the purpose of this agreement and on termination of this agreement (for whatever reason) to hand over promptly all copies of the Data to The Charity.

3.3 abide at all times with Part II of the Charities Act 1992, the General Data Protection Regulation (EU) 2016/679 (GDPR) and Data Protection Act 2018 (DPA 18) and, in particular, will state on all notices, advertisements and other documents soliciting funds for the Charity the fact that the Charity is a registered charity and the Charity's registered charity number.

3.4 in accordance with regulation 3(4)(a) of the Regulations, the statement of principal objectives is contained in Schedule 2.

4. Termination

4.1 The Charity shall be entitled to terminate this agreement forthwith if:

4.1.1 NC fails to pay any sum due to The Charity after the due date and The Charity has given NC 30 days written notice requiring it to pay and NC has failed to pay in the 30-day period;

4.1.2 NC does anything which in the reasonable opinion of The Charity brings or is reasonably likely to bring the name or Logo or reputation of The Charity into disrepute;

4.1.3 A resolution is passed for the voluntary or compulsory liquidation of NC or a receiver is appointed over all or part of its business or if NC as an individual has a bankruptcy petition presented against him or her.

4.2 if The Charity terminates this agreement under 4.1 NC will no longer be authorised to use the name and Logo and the Data and will cease immediately to use the Logo and Data.

4.3 either Party may terminate this agreement at any time by giving 30 days' notice in writing to the other Party.

4.4 notwithstanding termination of this agreement clauses 3 and 5 shall survive termination.

5. Indemnity

5.1 NC agrees to indemnify The Charity in respect of any costs, claims, loss or liability whatsoever suffered by The Charity (including reasonable legal costs and disbursements paid by either) as a result of any breach by NC of any of the terms of this agreement.

6. Confidentiality

6.1 The Charity agrees to treat as secret and confidential and not at any time for any reason to disclose or permit to be disclosed to any person or persons or to otherwise make use of or permit to be made use of any information relating to NC's business affairs or finances where knowledge or details of the information was received during the period of this agreement unless required to do so by law.

6.2 The obligations of confidence referred to in this clause shall not apply to any confidential information which:

- 6.2.1 is in the possession of and is at the free disposal of The Charity or is published or is otherwise in the public domain prior to the receipt of such information by the Charity or The Charity; or
- 6.2.2 is or becomes publicly available on a non-confidential basis through no fault of The Charity; or
- 6.2.3 is received in good faith by The Charity from a third party who on reasonable enquiry by 6.3 The Charity claims to have no obligations of confidence to the NC in respect of it and impose no obligations of confidence upon The Charity.
- 6.4 the obligations imposed by this clause on The Charity shall apply mutatis mutandis to NC.

7. General

- 7.1 this agreement is personal as between the parties and NC can only assign the benefit of this agreement with The Charity's prior written consent, but NC may appoint sub-licensees provided that it has obtained The Charity's prior written consent to the granting of a sub-licence (not to be unreasonably withheld or delayed) and NC shall remain liable for all its obligations hereunder as if it had not appointed a sub-licensee.
- 7.2 no amendment or addition to this agreement shall be made unless made in writing and executed by the parties.
- 7.3 the parties are not partners nor joint ventures nor is NC entitled to act as nor represent itself as agent for The Charity, nor to pledge The Charity's credit.
- 7.4 neither party shall be liable for any breach of any term of this agreement that is the result of any clause beyond the reasonable control of the party in breach.
- 7.5 this agreement shall be governed by the laws of England and Wales.
- 7.6 any notice to be served on any of the parties shall be sent by pre-paid recorded delivery or registered post or by telex or facsimile transmission to the address above (or such other address may be advised from time to time) and shall be deemed to have been received within 72 hours of posting or 24 hours if sent by telex or facsimile transmission to the correct number of the addressee.

AS WITNESS the hands of the parties

SIGNED by
for and on behalf of
Aneurin Bevan University Local Health Board Charitable Fund – THE CHARITY

Authorised signatory, print name: _____

SIGNED by
for and on behalf of
Newport County Association Football Club – COMMERCIAL PARTICIPATOR

Authorised signatory, print name: _____

Schedule 1
Details of the Logo

Schedule 2 - Statement of Principal Objectives

1. The people looking after this partnership are:
[Charity representative, name and job title].....(The Charity)

[NC representative name and job title].....(NC)

2. Principal Objectives

The purpose and aims of this arrangement are to [insert or list overall objectives or aims, name of project etc]

3. The Charities' roles and responsibilities:

The Charity will:

Link to NC's website and promotion of the website

Post promotions of the partnership on various social media platforms (including blog) on at least X occasions throughout the month.

Display NC's Logo on our supporter accreditation page

Fundraising shall only be carried out by ABUHB staff

The Charity shall have the right to determine how funds raised are spent

Advise NC how donations are spent

4. NC's roles & responsibilities:

NC will:

Link to the Charity's website and promotion of the website

Post their support of Dinky Dragons on social media and website

Align to the Health Board's/Charity's goals-no alcohol, no smoking etc

Donate free tickets and signed merchandise for the Charity to raffle

Allow bucket collections by ABUHB staff during match days

Permit a stall for ABUHB staff selling goods X times a year during match days